





2024 ANNUAL ACCOMPLISHMENT REPORT

TECHNOLOGY TRANSFER AND LICENSING OFFICE (TTLO)
(January – December 2024)

UNIT PROFILE:

Technology Transfer and Licensing Office - Innovation and Technology Support Office (TTLO-ITSO) leads in the promotion and communication of Intellectual Property (IP) through IP education programs and advocacy in the university.

The office also provides technical assistance in the conduct of patent search and patent drafting activities and training to faculty members in the university and different stakeholders in the region. It also develops and implements policies and processes to enable IP protection and technology commercialization. Lastly, it pursues IP protection, technology transfer, and commercialization.

VISION:

An innovation and technology support office serving the Caraga State University and the communities in the region, enabling and supporting increased innovation, protection of our innovation through patents, and utilization and commercialization of patented innovation for the benefit of the University and the communities in the region.

MISSION:

The ITSO is committed to providing patent information and IP services to the Caraga State University and the communities in the region, and supporting research and development which will have a positive social, economic, technological, and environmental impact on the University and the communities in the region.

PHYSICAL PERFORMANCE REPORT

Program/Performance Indicators do not apply to the operations of the TTLO.

FINANCIAL PERFORMANCE REPORT

The TTLO has no budget for its operations and is mainly dependent on external funding from DOST-PCAARRD through the Regional Agri-Aqua Innovation System Enhancement (RAISE) Program. TTLO, as the smallest unit, acquired a two-year project fund for the Project 1 - Regional Intellectual Property and Technology Business Management (IPTBM) in Caraga amounting to Php 3,983,650.00. The total approved budget for Year 1 is Php 2,412,950 with a total obligation of Php 1,741,400.36 as of to date consisting of Personal Services (PS) expenses and Maintenance and Other Operating Expenses (MOOE). However, the Year 1 accounts payable amounts to Php 399,165.43, leaving a total unexpended balance of Php 272,384.21. This unexpended balance will be reprogrammed for the Year 2 operation of the project. The total fund utilization for the Year 1 budget is 88.71%.

INITIATIVES AND ACHIEVEMENTS

A. TTLO/ITSO IP SERVICES

The Technology Transfer and Licensing Office (TTLO) successfully delivered numerous activities and services at the start of the year supporting innovation and intellectual property protection across diverse fields.







A.1. IP APPLICATIONS

PATENT / UTILITY MODEL (UM)

A.1.1. A Portable Device for Harvesting Fleshy Drupes (Date of application: March 5, 2024)



A.1.2. An Automated Brooding System (Date of application: May 31, 2024)



A.1.3. Monitoring and Controlling of Floating Photovoltaic System (Date of application: June 28, 2024)



A.1.4. A Mixture of Plant Extracts for Hair Growth Promotion (Date of application: July 31, 2024)







A.1.5. A Composition of Fiber-Based Packaging and Process of Making thereof (Date of application: December 2, 2024)



A.1.6. Vehicle Monitoring Method (Date of application: April 1, 2024)



A.1.7. Fish Attractor (Date of application: April 18, 2024)







A.1.8. Vegetable-based Frozen Dessert (Date of application: October 27, 2024)



TRADEMARK (TM)

A.1.9. Caraga Food Innovation Center (CFIC) - CSU FIC

Facilitated the preparation and filing of the trademark "Caraga Food Innovation Center" with the IPOPHL. The trademark was applied for registration on February 26, 2024.



A.1.10. Regional Agri-Aqua Innovation System Enhancement (RAISE) in Caraga logo

Facilitated preparing and filing the trademark "RAISE CARAGA" with the IPOPHL. The trademark was applied for registration on July 1, 2024.

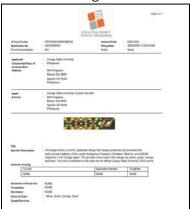






A.1.11. CSU Cultural Mark

The trademark was applied for registration on August 28, 2024.



A.1.12. Caraga State University (CSU)

The trademark was applied for registration on October 4, 2024.



INDUSTRIAL DESIGN

A.1.13. CSU Covered Walkway

Filling Date: July 22, 2024







Target Accomplishments: Each TE (Full-time/Faculty) should file at least five (5) Patent applications. Hence, a total of 10 Patent Applications.

Milestones: TTLO (Full-time/Faculty) have filed a total of 8 applications. 5 from the Butuan City campus, and 3 from the Cabadbaran City campus. 8 for patent; 4 for copyrights; 1 for ID; and 4 for TM.

A.2. IP PROSECUTIONS

Patent/UM Applications

Substantive Examination Reports

A.2.1. An Insect Trap

- Responded SER
- January 26, 2024



A.2.2. Automated Dust Suppression and Monitoring System

- Responded SER
- March 5, 2024

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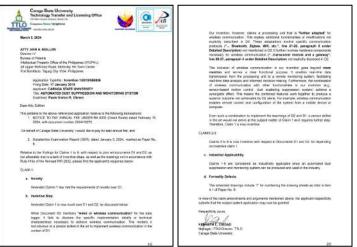
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A.2.3. An Apparatus for Demonstrating Projectile Motion and Free-fall Body

- Responded SER and requested for UM conversion (May 31, 2024)
- Requested for revival of application and subsequently approval for the UM conversion (August 27, 2024)





A.2.4. A Device for Molding Cocoa Mass into Tablea

- Responded SER
- January 4, 2024



A.2.5. A Device for Slicing Snack-based Food Products

- Responded SER and requested for UM conversion
- October 7, 2024









Formality Examination Reports

A.2.6. A Device for Extracting Sago Starch

- Responded FER and requested for SER
- July 22, 2024



A.2.7. A Weight-based Fuel Consumption Metering Device for Engine

- Responded FER and requested for SER
- July 22, 2024



A.2.8. A Device for Slicing Snack-based Food Products - Responded FER and requested for SER

- July 22, 2024









A.2.9. Monitoring and Controlling of Floating Photovoltaic System

- Responded FER and requested for Early Search Report (ESR)
- October 4, 2024



A.2.10. A Portable Workstation Desk

- Responded FER
- November 4, 2024



Early Search Reports

A.2.11. An Integrated Machine for Processing Potatoes

- Requested for ESR
- July 19, 2024









A.2.12 A Device for Harvesting Agricultural Compost

- Requested for ESR
- July 19, 2024



A.2.13. A Processing Device for Cacao Beans

- Requested for ESR
- July 19, 2024



A.2.14. A Portable Device for Harvesting Fleshy Drupes

- Requested for ESR
- July 19, 2024







A.2.15 A Mixture of Plant Extracts for Hair Growth Promotion

- Requested for ESR
- October 4, 2024



Email Correspondence with IPOPHL

A.2.16. Plastic Bottle Recovery

- Emailed BOP Records for the status of the application
- July 25, 2024



A.2.17 A Portable Workstation Desk

- Emailed the examiner on the status of the application
- October 7, 2024



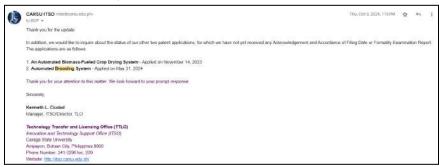






A.2.18 An Automated Brooding System

- Emailed IPOPHL cashier regarding the status of the payment of the application
- October 3, 2024



Trademark Applications

A.2.19 Caraga Food Innovation Center (CFIC)

- Responded Notice of Issuance and Second Publication Fee
- Date of response: October 2, 2024



A.2.20 CSU Tribal Design

- Responded Registrability Report
- Date of response: October 10, 2024

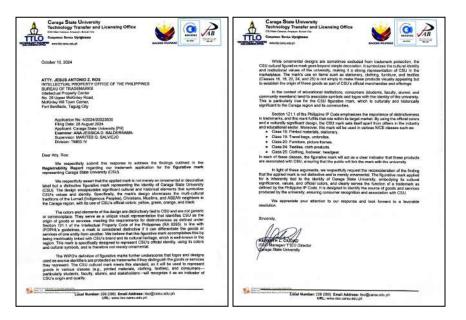
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- Responded Notice of Allowance to pay the Issuance Fee and Second Publication Fee
- Date of response: October 31, 2024



A.2.21. Caraga RAISE Logo

- Responded Registrability Report
- Date of response: October 10, 2024



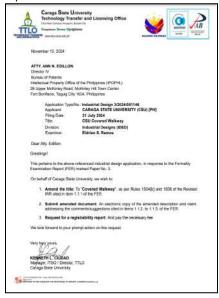




Industrial Design Application

A.2.22. Covered Walkway

- Responded Formality Examination Report (FER)
- Date of Response: November 12, 2024



Target Accomplishments: Each TE (Full-time/Faculty) is expected to respond to at least four (4) Formality/Substantive Examination Reports by IPOPHL. Hence, a total of 16 responses.

Milestones: TEs (Full-time/Faculty) have prosecuted or responded to a total of 21 responses this year. 16 for patent; 1 UM; 1 for ID; and 3 for TM.

A.3. PERFORM TECHNOLOGY SEARCHES, PATENT ANALYSIS, AND PAS REPORTS

- A.3.1. Process of Preparing Gluten-Free Brownies
- A.3.2. A Processing Device for Corn
- A.3.3. An Automated Brooding System
- A.3.4. Bio-Briquette Composition and Method of Making thereof
- A.3.5. Brownies Composition using Giant Swamp Taro
- A.3.6. Automated Deep-Frying Machine
- A.3.7. Hydrophobic Bag
- A.3.8. Device for Compacting Biomass Material
- A.3.9. Unmanned Surface Vehicle for Water Quality Monitoring with Fish Finder
- A.3.10. Flour Extracting Device
- A.3.11. Composition and Method for Preparation of Soil Inoculant as Suppressor of Soil-Borne Pathogens
- A.3.12. Energy Monitoring and Controlling System
- A.3.13. A Covering Device Against Weather Elements for an Automobile
- A.3.14. A Filter Paper
- A.3.15. Method for localizing the conversion of metal layer into transparent metal oxide using droplets
- A.3.16. Smart Battery System
- A.3.17. Robotic Irrigator
- A.3.18. Biochar-based Biofilter for Wastewater Treatment
- A.3.19. A Method for Producing a Fruit-Based Powdered Food Product
- A.3.20. An Educational Apparatus for Teaching Trigonometric Lessons
- A.3.21. Automated Soil Sampling and Storage System for Unmanned Ground Vehicles
- A.3.22. A Fishing Accessory
- A.3.23. Starch-Based Processing Device
- A.3.24. A composition comprising silica from Rice Husk Ash (RHA) and process of making thereof for silicone sealant







A.3.25. An Automated Clothesline Retrieval System



Target Accomplishments: Each TE (Full-time) is expected to render to at least 12 patent search services, via Prior Art Search Report (PASR). Hence, a total of 24 PASR.

Milestones: This year, a total of 25 PASRs were accomplished.

A.4. REGISTERED IPs

PATENT GRANTED

A.4.1. A Device for Extracting out the Starch from Starch Producing Crop

Filing Date: December 7, 2020 Grant Date: April 3, 2024



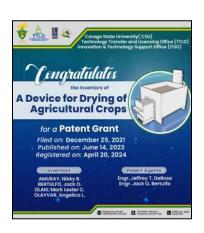
A.4.2. A Device for Drying Agricultural Crops

Filing Date: December 9, 2021 Grant Date: May 29, 2024









A.4.3. A Device for Molding Cocoa Mass into Tablea Filing Date: December 9, 2021

Filing Date: December 9, 202 Grant Date: August 16, 2024



A.4.4. Insect Trap

Filing Date: August 31, 2021 Grant Date: August 16, 2024



UTILITY MODEL

A.4.5. Herb-Fruit Blend

Filing Date: December 7, 2021 Grant Date: July 5, 2024







TRADEMARK

A.4.6. Caraga Food Innovation Center (CFIC)

The Technology Transfer and Licensing Office (TTLO) has facilitated the registration of the trademark application for the Caraga Food Innovation Center (CFIC).

Filing Date: February 26, 2024
Date of registration: December 11, 2024



COPYRIGHTS

The TTLO's dynamic involvement in handling requests and protecting intellectual assets, ensuring their rightful recognition, has successfully awarded four (4) valuable academic resources for copyrights as listed below:

A.4.7. Participation and Teaching Assistantship (Field Study 2) by Julie S. Berame, Minie L. Bulay, Leo L. Codilla, Jr., Ariel U. Cubillas, Maris T. Lasco, Gladys L. Lagura, Rissa L. Mercado, and Marichu D. Tulang

Filing Date:

January 26, 2024

Registered: February 19, 2024

A.4.8. Laboratory Workbook in Developmental Biology by Joycelyn C. Jumawan and Jashin J. Rosal

Filing Date:

January 29, 2024

Registered:

February 19, 2024

A.4.9. Laboratory Workbook in Genetics by Shirlamaine Irina M. Calagui, Florence Jhun F. Almadin, Archie A. Along, Rovelyn P. Gallego, Jashin J. Rosal, and Chennie S. Naling

Filing Date:

February 26, 2024

Registered:

March 20, 2024

A.4.10. Guide to the Philippine Medicinal Plants Utilized by Butuanon by Dr. Leila Ombat, Dr.







Meljan Demetillio, Mr. Jonathan Ian Ponio, and Mr. Bluman Tiongson.

Filling Date: May 7, 2024 Registered: July 22, 2024









A.5. PROMOTIONAL IECs DEVELOPED/REPRODUCED/DISSEMINATED

With the assistance from the RAISE Knowledge Management Hub (KMH) Project, the TTLO was able to develop IEC (posters and brochures) materials.

A.5.1 Posters (Sintra Board)



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TTLO was able to develop 7 IEC (4 posters and 3 brochures) materials in the form of a poster and a brochure. These materials are designed to show and promote the various services and products





offered by the office.

IP EDUCATION ACTIVITIES A.6.

The Technology Transfer and Licensing Office (TTLO) has conducted and participated in various Intellectual Property (IP) awareness activities, both within the university and in external engagements. These initiatives include organizing seminars, workshops, and training sessions aimed at educating faculty, students, and the external community on the importance of IP protection and its role in innovation. TTLO has also collaborated with external stakeholders to promote IP awareness and share the value of intellectual property rights in research, development, and commercialization.

Basics of IP and Patent Search Activities

A.6.1. Basic IP Rights and Patent Searching Seminar Workshop



The Caraga State University College of Business and Accountancy (CSUCC-ABA) and the Innovation Technology and Support Office (ITSO) recently organized an Intellectual Property Rights and Patent Searching Seminar-Workshop at CSUCC-AVR, with a total of 45 participants comprising both students and faculty members on March 8, 2024.

A.6.2. Basics of Intellectual Property and Patent Search Report

The ITSO of the Caraga State University Cabadbaran Campus has conducted a workshop regarding the basics of IP and Patent Search Report at the Cabadbaran City National High School with participants comprising both grade 11 and grade 12 students. The resource speakers during the activity were Ms. Kimberly Fong, Dr. Nathaniel Daminar, Engr. Jayson C. Loreto, and Prof. Alvin M. Sevilla.



A.6.3. Fundamentals of Intellectual Property and Patent Searching Seminar Workshop

The ITSO of the Caraga State University Cabadbaran Campus has conducted a seminar-workshop on the Fundamentals of Intellectual Property and Patent Searching with participants from the BS Industrial Technology. The resource speakers during the activity were Prof. Joy Lyn D. Dela Cruz, Ms. Charisse D. Galusan, and Engr. Jayson C. Loreto.



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A.6.4. Training-Workshop on Intellectual Property Management and Patent Search

- Date conducted: October 9-10, 2024
- Participants: College of Forestry and Environmental Science (CoFES)
 Faculties and Students



A.6.5. Seminar-Training on IP Awareness

- Date conducted: October 11, 2024
- Participants: College of Education (CEd) Faculties



A.6.6. Workshop on IP Protection for Social Science

- Date conducted: October 23, 2024
- Participants: College of Humanities and Social Sciences (CHASS) Faculty













A.6.7. Basics of Intellectual Property Seminar

- Date conducted: December 3, 2024
- Participants: College of Agriculture and Agri-Industries Students



Patent Drafting Activities

A.6.8. Patent Drafting Workshop

On August 21-22, 2024, the CSU TTLO, was invited to a Patent Drafting Workshop conducted by the Caraga State University - Cabadbaran City Campus (CSUCC) ITSO held at the Grand Palace Hotel, Butuan City. TTLO Director, Prof. Kenneth L. Ciudad together with Engr. Rulona and Engr. Silong gave insights and recommendations for critiquing and enhancing the participants' outputs. The participants of this workshop were faculty members from the different colleges of CSUCC.





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attended by 11 participants composed of RDI Center Directors/representatives and a few College IP coordinators (CIPC). This activity aimed to enhance awareness regarding all facets of Intellectual Property (IP) rights among university researchers, academics, and undergraduates. The activity consisted of a series of informative lectures and application exercises. The participants were given time to independently engage in their work at their own pace, especially in patent drafting but with the guidance of the experts in the field. The participants actively participated in the exercises and interacted with resource speakers namely, Prof. Kenneth L. Ciudad, Engr. Jayson Loreto, Engr. Juven G. Luzorata, Engr. Sherwin M. Boholano, Engr. Lord Boy Silong, and Engr. James Audrey Guian G. Rulona.

At the end of the session, RDI center Directors and Representatives presented their prior art search, technology disclosures, and the patent draft with claims, showcasing their dedication to innovation and IP protection.





External Engagements

A.6.10. Awareness on Basics of Intellectual Property (IP) in Saint Michael College of Caraga (SMCC)

The office was invited by the SMCC to give a lecture on the basics of IP in their college on May 8, 2024. Prof. Meycel Amarille, Engr. Lord Boy Silong, Engr. Juven G. Luzorata, Ms. Jeszianlenn Plaza, and Prof. Kenneth L. Ciudad attended the seminar as resource speakers. They gave insights and highlighted the importance of IP. The activity was participated by 20 faculties and staff of the SMCC.



A.6.11. Basics of Intellectual Property

- Date of seminar: October 16, 2024
- Participants: BSED Students of Candelaria Institute of Technology of Cabadbaran Inc. (CITCI)



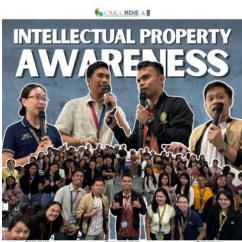
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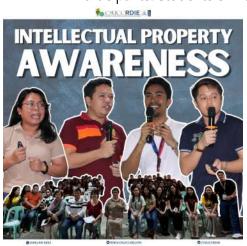






A.6.12. Intellectual Property Awareness Seminar

- Date of seminar: October 25, 2024
- Participants: Students of Northern Mindanao Colleges Inc. (NORMI)





A.6.13. IP Awareness: Basics of IP

- Date conducted: November 15, 2024
- Participants: Basag Elementary School and Basag National High School **Teachers**





A.6.14. Patent Journey: Mapping the Way to Academic Innovation

The "Patent Journey: Mapping the Way to Academic Innovation" patent search workshop was conducted from August 28 to 30, 2024, organized by the Technology Transfer and Licensing Office (TTLO) through the Regional Agri-Aqua Innovation System Enhancement (RAISE) Program's Intellectual Property and Technology Business Management (IPTBM) Project. The workshop aimed to empower participants with knowledge and skills in patent searching, thereby strengthening the innovation ecosystem within the university and the region. Engr. Wilfredo Calaguan and Engr. Maria Concepcion







S. Zabala, IP Specialists from IP Business Services and Development Division of IPOPHL served as the Resource Speakers in this workshop.

The workshop targeted participants, in particular librarians and ITSO members from the State Universities and Colleges (SUCs) in Caraga such as Agusan del Sur State College of Agriculture and Technology (ASSCAT), NorthEastern Mindanao State University (NEMSU) and internal participants from TTLO, CIPC, and ITSO-CSUCC.



The Technology Transfer and Licensing Office (TTLO) has expanded its engagements by collaborating with other universities and institutions to deliver training and seminars on Intellectual Property (IP). These sessions focus on various aspects of IP, including IP system, industrial property rights, copyright, patents, patent searching, and patent drafting. The technical experts (TE) from TTLO—Engr. Silong, Engr. Rulona, and Engr. Luzorata—have been invited to share their expertise in these areas. These initiatives aim to empower other universities in strengthening their IP awareness and enhancing their research outputs.

A.6.15. IP Awareness Search and Draft of Patent Document

- Date of seminar: November 6-8, 2024
- Participants: Faculties and Researchers of Northeastern Mindanao State University (NEMSU)





Target Accomplishments: 12 Basics of Intellectual Property (IP), 2 Patent Search and 1 Patent Drafting Workshops.

Milestones: By this year, a total of 16 (133.33 %) Basics of IP, 9 (450 %) Patent Search and 2 (200%) Patent Drafting Workshop were conducted by both CSU Ampayon and Cabadbaran campuses.

B. OTHER ACTIVITIES CONDUCTED/ATTENDED: RAISE Program through Project 1. IPTBM

B.1. Regional Echo of Intellectual Property Masterclass (IPMC)

The first part of the Regional Echo of Intellectual Property Masterclass was successfully conducted via Zoom on December 4-5, 2024. The event was participated by various agencies and institutions across different regions, extending beyond Caraga. This initial session aimed to provide participants with a comprehensive understanding of intellectual property (IP) concepts and the importance of protecting intellectual property.



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The program also included discussions on patent searching, complemented by a hands-on workshop on conducting prior art searches. These activities were designed to enhance participants' understanding of the value of prior art searches, especially in the context of product development and research activities. The participants were also actively engaging by sharing their workshop outputs, which were subsequently reviewed and critiqued by the experts and speakers.





B.2. Technology Promotion Mentorship Series (TPMS)

Caraga State University participated in the 2nd Technology Promotion Mentorship Series (TPMS) conducted by the Association of PAQE Professionals, Inc. (APP) in support of the DOST-PCAARRD Regional Agri-Aqua Innovation System Enhancement II (RAISE) Program. The TPMS was designed to equip participants from participating agencies with the skills necessary to effectively promote their respective technologies to intended clients and beneficiaries. The 2nd TPMS ran from June 11 to August 16, 2024, providing participants with a comprehensive training experience.



Photo: Caraga delegations at the 2nd TPMS Graduation Ceremony with MR. Marvin Jay Campana, Caraga RAISE Program Leader, Prof. Kenneth Ciudad, Engr. Michael Sabugaa, Prof. Meycel Amarille, DOST-PCAARRD Executive Director, Dr. Reynaldo Ebora, DOST-PCAARRD Director for Technology Transfer and Promotion Division (TTPD), Mr. Noel Catibog, Lord Boy C. Silong, Engr. Luzminda Bacquial, Dr. Rodel Oclaret, Engr, James Audrey Guian G. Rulona, Mr. Mark Vincent Vergara, Dr. Ivy S. Diaz, Ms. Marisol Jane M. Beral, and Ms. Angelica Labiano (from left to right).

CSU TTLO Technical Expert and RAISE IP-TBM Project Staff, Lord Boy C. Silong, Prof. Meycel Amarille, College IP Coordinator (CIPC) Head and RAISE KMH Project Leader, Ms. Marisol Jane Beray, CIPC of CSUCC and RAISE IP-TBM Project Staff, and Marvin Jay Campana, Project Technical Assistant II of the RAISE KMH Project. completed the training. The TPMS culminated in a graduation ceremony held at Royce Hotel, Clark Freeport Zone, Pampanga on August 16, 2024.



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The region's delegation also included four representatives from Caraga's Consortium Member Institutions (CMIs): Engr. Luzminda Bacquial, RAISE-IPTBM (1A) Project Leader, and Dr. Ivy S. Diaz from North Eastern Mindanao State University (NEMSU). Additionally, Dr. Rodel Oclaret, RAISE-IPTBM (1B) Project Leader, and Engr. Michael Sabugaa, from Agusan del Sur State College of Agriculture and Technology (ASSCAT), was part of the delegation.

B.3. Intellectual Property Master Classes (IPMC)

The 6th DOST-PCAARRD Intellectual Property Masterclass (IPMC) Final Module classes and Graduation Ceremony took place at The Hotel Sophia, Cauayan, from January 24–26, 2024. This collaborative effort between the Philippine Council for Agriculture, Aquatic, and Natural Resources Research and Development (DOST-PCAARRD) and the Association of PAQE Professionals, Inc. (APP) was hosted by the Isabela State University (ISU) Cauayan Campus under the RAISE Program in Cagayan Valley. The 15-day IPMC training, which started last November 2023, focused on building and strengthening the capacities of technology transfer officers (TTOs) and researchers in IP protection, prosecution, and management, culminating in the simulation of qualifying exams for patent agents and actual online IP applications.





Photos 1 (left) and 2 (right): Caraga delegations at the 6th IPMC Graduation Ceremony and Module 5 Classes with Engr. Sherwin Boholano, Engr. Juvel Luzorata, Caraga RAISE Program Leader, Mr. Kenneth Ciudad, Engr. Luzminda Bacquial, Mr. Rodel Oclaret, Mr. Virgilio Ombao, and Mr. Cyrus Galendez (from left to right). Prof. Ciudad proudly received the award plaque and certificate for being the Top IP Filer (right photo).

Two (2) CSU TTLO faculty Technical Experts: Engr. Juven G. Luzorata and Engr. Sherwin M. Boholano, both are also Project Staff Level 1 under the RAISE-IPTBM project, completed the training. Alongside the Caraga RAISE Program Leader, Prof. Kenneth L. Ciudad, they attended the graduation ceremony and final module classes.





Photos 3 and 4 (Left to right): The execution of the IPMC Final Module classes on January 24-25, 2024, at The Sophia Hotel, Cauayan, Isabela.

The region's delegation also included four representatives from Caraga's Consortium Member Institutions (CMIs): Engr. Luzminda Bacquial, RAISE-IPTBM (1A) Project Leader, and Mr. Virgilio Ombao from North Eastern Mindanao State University (NEMSU).







Additionally, Mr. Rodel Oclaret, RAISE-IPTBM (1B) Project Leader, and Mr. Cyrus Galendez, both from Agusan del Sur State College of Agriculture and Technology (ASSCAT), were part of the delegation.

B.4. Technology Commercialization Mentorship Series (TCMS)

The intensive 15-day training sought to provide Technology Transfer Officers (TTOs) with essential skills and knowledge for the promotion and commercialization of technologies in the agriculture, aquatic, and natural resources (AANR) sector. This training involved the participation of two (2) RAISE-IPTBM staff members, namely Ms. Angelica L. Labiano, PTAII, and Ms. Charisse Galusan, Faculty staff and two (2) RAISE-ATBI staff namely Mr. Melbert R. Bonotan, ATBI Project Leader and Director as well as Engr. Elpidio Corbeta, ATBI Project Development Officer. Their training commenced on February 14-16, 2024, and concluded on April 18, 2024. This activity was also simultaneously participated by the two (2) staff each from NEMSU and ASSCAT under the RAISE Program, respectively.



Screenshots were taken during the webinar series of TCMS Module 1 last February 14-16, 2024 via Zoom.



Photos taken during the TCMS Graduation last April 17, 2024 at USM, Kabacan, South Cotabato.

B.5. Regional Communication Plan Development Workshop

On March 11–12, 2024, the RAISE Program, led by individuals from the Intellectual Property Technology Business Management (IPTBM) and Knowledge Management Hub (KHM) projects at Caraga State University (CSU), organized a two-day workshop focused on developing a Regional Communication Plan. Participants included staff from various RAISE program projects, directors from CSU Research and Development Institutions, CSU Public Information and Communication Office, as well as project managers and staff from other relevant institutions. This activity was participated by a total of 25 individuals comprised of representatives from CSU, NEMSU, and ASSCAT.

The workshop, facilitated by Dr. Renalyn Padoginog, emphasized the importance of effective communication through interactive sessions and presentations. Participants engaged in activities to understand communication concepts, models, and methods for crafting communication plans. The workshop also featured presentations on the proposed logo for Caraga RAISE and the Analysis and Strategic Matrix, along with the drafted Communication Plan. Constructive critique and feedback were provided, contributing to



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the enhancement of the regional development plan. Overall, the workshop highlighted the significance of transactional communication and emphasized the importance of a two-way flow of ideas. The success of the workshop underscores CARAGA RAISE's commitment to enhancing technology commercialization efforts in the region.





Photos 1 (Left) and 2 (Right): Participants of the Orientation Meeting and Action Planning Workshop last March 11-12, 2024 with Jesril Penaloza, Angelica Labiano, Marvin Jay Campana, Rex Parro. Kenneth Boyles, Engr. James Audrey Guian Rulona, Prof. Joy Lyn Dela Cruz, Elepedio Corbeta, Jr., Prof. Meycel Amarille, Dr. Renalyn Padoginog, Prof. Kenneth Ciudad, Dr. Michael Sabugaa, Engr. Cyrus Jay Galendez, Engr. Luzviminda Bacquial, Dr. Ivy Diaz, Dr. Rodel Oclaret, Mr. Virgilio Ómbao, Mr. Mark Angelo Pineda (from left to right). Participants Day 1 of the Activity (right photo).

RAISE to Innovate: LIKHA Summit 2024

Resource Speaker and Judge in the RAISE to Innovate Pitching Competition

The TTLO Director, Prof. Kenneth L. Ciudad, was invited as a resource speaker and panel judge during the LIKHA Summit Pitching Competition. In his "From Lab to Market: . Understanding Caraga State University's Spinoff Policy for Researchers and Faculty" presentation, he introduced the university's newly formulated Spinoff Policy, which outlines guidelines and responsibilities for university employees, spin-off companies, and the university itself. This policy aims to effectively manage spin-offs while ensuring compliance with relevant laws, fostering innovation, and supporting the commercialization of research outputs.



Appearance on a "Talk with the Expert" Podcast

On August 22, 2024, Mr. Kenneth L. Ciudad, TTLO Director and Program Leader of the RAISE Program in Caraga, was a featured guest on the Techno Asenso podcast produced by the Department of Science and Technology (DOST) - Caraga. In his insightful interview, Prof. Ciudad delved into the critical role of Intellectual Property (IP) and Technology Business Management (IP-TBM) in promoting a culture of innovation within the Caraga region.











The RAISE Program in Caraga seeks to boost the innovation ecosystem by managing and fostering the development of Agriculture, Aquatic, and Natural Resources (AANR) technologies and intellectual properties in the region. It aims to provide capacity building for R&D partners, facilitate public-private access to AANR technologies, and establish new research and development partnerships. The program's social impacts include improved environmental management, rural job creation, and potential breakthroughs in various fields. Activities involve enhancing IPTBM personnel's skills, managing intellectual property, identifying technological trends, recommending R&D programs, supporting technology evaluation, and promoting commercialization through stronger industry and community linkages. These efforts aim to promote economic growth and sustainable development in the region.

CAPACITY BUILDING ACTIVITIES

B.8. Pitch Deck Mentoring

The ITSO of the Caraga State University Cabadbaran Campus conducted a mentoring workshop last May 17, 2024. The activity was participated by students that are joining the upcoming pitching competition organized by the same office.. The resource speaker during the activity was Engr. Jayson C. Loreto, Patent Administrative Specialist of the CSUCC ITSO.





B.9. Pitching Competition and IP Quiz Bee

In continuation of the pitch deck mentoring, the ITSO of the Caraga State University Cabadbaran Campus conducted an activity where students were able to pitch their innovation integrating all their learnings from the previously conducted mentoring workshop. The activity was participated by the same student in the same office. The resource speaker during the activity was Engr. Jayson C. Loreto, Patent Administrative Specialist of the CSUCC ITSO.

3.10. Participation in Startup Showdown: CarSU Innovation Challenge 2024
On August 7, 2024, Prof Kenneth L. Ciudad, Director of TTLO, Prof. Meycel Amarille,



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CIPC Head, Prof. Genesis Jared Cutamora, CIPC of CAA, actively participated as judges in the StartUp pitching competition during the Startup Showdown: CarSU Innovation Challenge 2024. This involvement in startup events positions TTLO to stay informed about emerging technologies and potential collaboration opportunities with innovative ventures.



<u>B.11.</u> Orientation Meeting and Action Plan Workshop for College IP Coordinators (CIPCs) and Technical Experts (TEs)

The Technology Transfer and Licensing Office (TTLO) in Caraga State University through the Regional Agri-Aqua Innovation System Enhancement (RAISE) - Project 1: Intellectual Property and Technology Business Management (IPTMB) conducted an Orientation Meeting and Action Planning Workshop for the newly designated set of College IP Coordinators (CIPCs) and the Technical Experts (TEs) held at Kinaadman Conference Room, Caraga State University-Main Campus, Ampayon, Butuan City last February 21, 2024.





Photos 1 (Left) and 2 (Right): Participants of the Orientation Meeting and Action Planning Workshop last February 21, 2024 with Angelica Labiano, Loven Joy Bala, Dr. Enrique Taragua, Engr. Gerome Amper, Engr. Sherwin Boholonao, Engr. Lord Boy C. Sllong, Dr. Marichu Tulang, Engr. James Audrey Guian Rulona, Prof. Kenneth Ciudad, Marisol Jane Beray, Prof. Meycel Amarille, Genesis Jared Cutamora, Kimberly Fong, Charisse Galusan, Nicole Bajamundi, Engr. Novie Dave Perez, and Dexter Romaguera (from left to right). CIPCs of Caraga State University-Cabadbaran Campus accomplishing their action plans (right photo).

This meeting-workshop was participated in by seven (7) CIPCs from CSU-Main Campus, five (5) CIPS from CSU-Cabadbaran Campus, along with two (2) Full-Time TEs, two (2) Faculty TEs, TTLO Director, and two (2) RAISE PTAs. This made the CIPCs and TEs familiarize the TTLO's operation and targets, be oriented with their respective duties and responsibilities, develop detailed action plans, and schedule their activities on IP awareness, meetings, etc.







B.12. Kick-Off Start of Agri-Aqua Technology Business Incubation Master Class (ATBIMC)

The Agri-Aqua Business Incubation Network of the Philippines, Inc. (AABINPhil, Inc.) is spearheading an initiative as the training service provider for the DOST-PCAARRD, aimed at enhancing the capabilities of project management teams within the program concerning the development and management of technology business incubation. AABINPhil, Inc. stands as a leading organization dedicated to advancing agri-aqua technology business incubation throughout the Philippines, with a core mission to facilitate the growth and sustainability of such initiatives by fostering networking, providing capacity-building opportunities, contributing to policy development, and advocating for the sector's success and community building.





Photos 1 (Left) and 2 (Right): Screenshots showing the participants during their virtual masterclasses of Agri-Aqua Technology Business Incubation

This training initiative coordinated by AABINPhil, Inc. will necessitate the participation of two individuals from your agency. It will encompass four virtual modules and one module conducted face-to-face at Central Luzon State University. Through this comprehensive training program, participants will gain valuable insights and skills crucial for effectively managing technology business incubation endeavors, thereby contributing to the overall advancement and prosperity of the agri-aqua sector in the Philippines. This training was participated solely by two (2) RAISE-ATBI staff namely Mr. Melbert R. Bonotan, ATBI Project Leader and Director as well as Engr. Elpidio Corbeta, ATBI Project Development Officer. Their training commenced on February 21, 2024, and is anticipated to conclude in September 2024.

<u>B.13.</u> Local Inception Meeting. IP Audit & Technology Inventory Workshop. and Year 1 Operational Planning

On April 10–12, 2024, the RAISE Program finally conducted the long-awaited activity at the Spacebar, Cagwait, Surigao del Sur. This engagement was diversely attended by the Caraga State University (CSU), Northeastern Mindanao State University (NEMSU), and Agusan del Sur State College of Agriculture and Technology (ASSCAT). All the project leaders namely the following: Prof. Kenneth Ciudad, RAISE Program Leader and Project 1, Mr. Genesis Jared A. Cutamora, Project 2, Mr. Melbert Bonotan, Project 3, Ms. Meycel C. Amarille, Project 4, Engr. Luzminda Bacquial, Project 1A, and Mr. Rodel Oclaret, Project 1B, have attended together with their members.

A key highlight of the activity was the Regional Technology and Intellectual Property (IP) Inventory Workshop. Participants conducted a thorough assessment of technological resources and intellectual property within the Caraga region and technical project assistants also presented progress reports for each project. The unveiling of the RAISE program logo marked another milestone, solidifying the program's identity.



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<u>B.14.</u> <u>Industrial Design and PCT Training for Regional Innovation by CSU RDI Centers (cum Meeting with IPOPHL)</u>

On April 16, 2024, the office, through RAISE Project 1. IPTBM, conducted a training-cum-meeting with IPOPHL which is participated by the CSU RDI Centers. This is one of the initiatives of the office as part of celebrating the National IP Month. The activity started with the training on the emerging industrial designs and the patent cooperation treaty. The resource speakers for the session are Engr. Chamlette Garcia and Mr. DIndo Dumali, both from the Documentation, Information and Technology Transfer Division of the Intellectual Property Office of the Philippines. They gave insights and were interactive with the queries of the participants.

Afternoon session was focused on the Regional Development Plan Meeting wherein Dr. Rolyn C. Daguil also attended. The meeting included brainstorming on engaging stakeholders for an upcoming IP Convention in July 2024, themed "ITSO namin kayo," emphasizing enforcement alongside filing. The activity concluded with an agreement to launch the IP Convention tentatively scheduled for July. Before closing, Engr. Chamlette Garcia underscored the importance of ensuring the novelty and inventiveness of research outputs, echoed by University President, Dr. Rolyn C. Daguil.





B.15. TTLO (IPTBM Project 1) & ATBI (Project 3) Benchmarking to USM

On April 19, 2024, the RAISE Project 1. IPTBM together with Project 3. ATBI conducted a benchmark at the University of Southern Mindanao (USM), Kabacan, South Cotabato. The purpose of the benchmarking is to observe and learn the best practices of USM's Technology Transfer and Business Development Office. During the visit, it was observed that they have numerous facilities to cater the commercialization of their products and their office has great features in organizing. Implementing these practices could enhance the office's organization and assist in developing future plans.









B.16. Agribusiness Mentorship Series

This 10-day training is one of the major capacity-building activities under the RAISE Program. This training focused on the enhancement of knowledge and skills in business management in the agriculture, aquatic, and natural resources (AANR) sector. This training involved the participation of two (2) RAISE-IPTBM staff members, namely Mr. Kenneth L. Ciudad, Project Leader, and Ms. Joy Lyn Dela Cruz, Faculty staff and two (2) RAISE-ABH staff namely Mr. Genesis Jared A. Cutamora, ABH Project Leader as well as Mr. Rex G. Parro, Faculty Staff. Their training commenced on April 24-26, 2024, and concluded last May 24, 2024. This activity was also simultaneously participated by staff from NEMSU and ASSCAT under the RAISE Program, respectively.





B.17. Regional Review of Institutional IP Policies and Technology Transfer Protocol

On April 30, 2024, the RAISE Project 1. IPTBM conducted an activity which aimed to review the existing Institutional IP Policy and TTP to timely align with updated international and national IP regulation as well to develop recommendations for improving existing policies and practices related to IP Management. This activity was participated by a total of 38 participants with 4 representatives from ASSCAT, and 3 representatives from NEMSU while the remaining was composed of CSU participants. Additionally, online participants joined from various HEIs in Caraga, including CLC College of Butuan, De La Salle John Bosco College, Andres Soriano Colleges of Bislig, and St. Francis Xavier College. Before the review, Ms. Maria Leidy Gatuz, an IP Rights Specialist of IPOPHL, delivered a talk and provided insights regarding the significance of having an IP Policy and Tech Transfer Protocol.



B.18. Participation on the YSEALI Caraga Hub Opening

On May 9-10, 2024, the YSEALI Caraga Hub launched its program regarding Fostering Youth-Led Renewable Energy Innovation and Sustainable Agriculture Development. The RAISE Program staff were key organizers of the event, as its objectives align with those of the RAISE Program, which aims to promote awareness of innovations related to the agri-aqua sector among stakeholders. Prof. Kenneth L. Ciudad, one of the keynote speakers, briefly introduced the RAISE Program and highlighted the importance of Intellectual Property Protection. This activity served as one of the avenues to launch the RAISE Program for stakeholders in the Caraga Region.



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B.19. Ready, Set, Market: Prioritizing and Valuing of University IP

The Technology Transfer and Licensing Office (TTLO) in Caraga State University through the Regional Agri-Aqua Innovation System Enhancement (RAISE) - Project 1: Intellectual Property and Technology Business Management (IPTMB) conducted an IP Valuation Workshop for the CIPCs for both CSU Campuses, RDI Center Representatives, and Technical Experts held at Activity Center, Caraga State University HERO Learning Commons, Ampayon, Butuan City and Navigatu, Hiraya Building last June 7, 13 & 14, 2024.

The three-day event focused on knowing the value of the existing technologies of the University through various tools such as the business model canvas, value proposition canvas, and market study. The workshop output serves as a vital reference for identifying commercialization pathways, technology appraisal assessments, and IP valuation.





B.20. Ouarter 3 Meeting of RAISE CMIs

In preparation for the DOST-PCAARRD visit scheduled for July 1–5, 2024, the RAISE CMIs: CSU, ASSCAT, and NEMSU, conducted a meeting held at the New Building Board Room, NEMSU last June 10, 2024. The main purpose of the meeting is to review and finalize the reports to be presented for the semi-annual monitoring and evaluation, project update and application of the Caraga RAISE logo to be applied by Project 4. KMH was also one of the agenda of the meeting.

Each project leader presented the project updates and gave feedback and insights on how to improve the presentations. The fund utilization of each project was also checked to keep track and plan future activities to be conducted to achieve the deliverables.







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B.21. Technology Promotion Mentorship Series

This training involves the participation of two (2) RAISE-IPTBM staff members, namely Engr. Lord Boy Silong, Project Staff/Technical Expert, and Ms. Marisol Jane Beray, Faculty staff and two (2) RAISE-KMH staff namely Ms. Meycel C. Amarille, KMH Project Leader as well as Mr. Marvin Jay Campana, Project Technical Assistant II. Their training commenced on June 11, 13 & 14, 2024, and concluded on August 16, 2024. This activity was also simultaneously participated by staff from NEMSU and ASSCAT under the RAISE Program, respectively.





C. MEETINGS CONDUCTED

C.1. TTLO/ITSO/IPTBM Team Weekly Meeting

To facilitate the harmonious and collaborative efforts of the TTLO team, a regular hybrid meeting was held every Friday of the week.



Photo shows the discussion of the TTLO team during the conduct of their regular meeting.

This was attended by the TTLO Director, two full-time TEs, and faculty TEs, respectively. Further, Ms. Joylyn Dela Cruz, assigned to the CSU-Cabadbaran Campus, was enjoined to the meeting as the interim TE Head. The meeting aims to discuss and improve the ongoing drafted documents in preparation for IP filing and registration.

June 7, was identified as the next CIPC Monthly meeting after the recently concluded CIPC Meeting held on April 5 this year.



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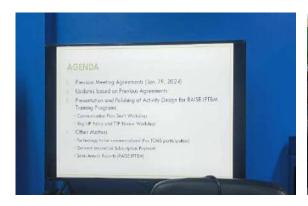


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1	Name: MEYCEL C AMARILLE														
2		coordianator and IP Education Department Head													
3	College: College of Forestry and Environmen	ttal Sciences													
4	Semester: 1st and 2nd Semester														
5	Year 2024														
7	Expected Deliverables (per TOR)	Action Plan	Mar	Apr	May	Jun	Jul	Aug	Sup	Oct	Nov	Doc	Person Involved	Remarks/MOVs	Risk Assumption
1	Attend to the following IP Education topic														
9	Basics on IP;	Incorporate the schedule of the IP Education offered by IPOPhil/ILEAP/MIPO/TTLO											TTLO/CIPC	Attended the ILEAP (Trademark Feb. 20)	Internet conne
10		Open an account to different platforms on the short courses on IP Education from IPOPhil/ILEAP/WIPO/TTLO												Certificate	Conflict of sche
11	Patent Search;	 Register and attend the short course on IP Education from IPOPhiMLEAP/MIPO/TTLO 											TTLO/CIPC	Certificate	
12		 Register and attend the short course on IP Education from IPOPnI/ILEAP/MIPO/TTLO 												Certificate	
15	Patent Drafting;	 Register and attend the short course on IP Education from IPOPhiMLEAPAMPO/TTLO 											TTLO/CIPC	Certificate	
14	Organize/Facilitate a number of IP Awarer the following stakeholders:	ness activities with any of the abovementioned topics to													
10		 Coordinate the Dean for the conduct of IP Education 													
10		 Propose that the IP Education will be incorporated to the Research methods or subject related to the conduct of research/thesis/special problem 											TT: 0.0000		

Sample output of the CIPC Head Action Plan during the workshop held on February 21, 2024 at Kinaadman Conference Room.

C.2. RAISE-IPTBM Team Weekly Meeting

To keep track and efficiently implement the lined-up activities of the project, program, and TTLO operations, the IPTBM Project Technical Assistant, and TTLO Admin staff with the TTLO Director/Program/Project Leader regularly conduct weekly meetings and updates to discuss strategies to carry out the drafted Activity Designs and target program/project deliverables. This meeting is normally attended by Ms. Angelica Labiano and Ms. Loven Joy Bala together with Prof. Kenneth L. Ciudad, TTLO Director and RAISE Program Leader & IPTBM Project Leader.





C.3. DOST-PCAARRD Monitoring and Evaluation

DOST-PCAARRD) initiated the Regional Year 1 Semi-annual Review and site visit of the Caraga RAISE Program, held from July 2-4, 2024, at NEMSU, ASSCAT, and CSU, respectively.







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C.4. Year-End Assessment of College IP Coordinators (CIPC)

The Year-End Assessment of the College IP Coordinators (CIPC) was successfully conducted on November 29, 2024. This event served as a platform to review the accomplishments achieved by the CIPCs from both the main campus and the Cabadbaran campus. It aimed to evaluate the successes, challenges, and opportunities encountered by the CIPCs, who work under the guidance of the Technology Transfer and Licensing Office (TTLO). The assessment also focused on aligning their efforts with the university's academic and research objectives while fostering stronger engagement among stakeholders in IP management, including faculty members, external collaborators, and industry partners. Through this evaluation, it also aims to identify strategies for enhancing IP initiatives and collaboration across all sectors.









D. CUSTOMER SERVICE

D.1. Nasipit National Vocational School

Dedicated to providing patent information and IP services, the office has supported 12 clients, including students, researchers, and faculty from Nasipit National Vocational School on January 30, 2024.



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Photos taken from the Nasipit Vocational School visit, featuring students, researchers, and faculty engaging in open discussions with TTLO TEs on potential IP protection for their school's output last January 30, 2024.

This commitment highlights its efforts to nurture innovation and enhance intellectual property awareness within the academic community. Moreover, the office remains steadfast in assisting additional clients.

D.2. BSABE Students from different SUCs of Mindanao

During the ABECon 2024, an activity held to celebrate the Philippine Society of Agriculture and Biosystems Engineer (PSABE) – Pre-Professional Group (PSG) at CSU Main Campus on February 26, 2024, estimated 20 students comprising of different SUCs in Mindanao visited the TTLO and was oriented by Engr. Lordboy Silong, Technical Expert of the office's role, functions, accomplishments, etc.





Photos taken during the orientation presentation by Engr. Lord Boy C. Silong for the ABECon 2024 participants.

D.3. Bachelor of Science in Entrepreneurship students from CSU-Cabadbaran Campus

40 Bachelor of Science in Entrepreneurship students from CSU-Cabadbaran Campus underwent an orientation session regarding the roles of the Technology Transfer and Licensing Office (TTLO) and Intellectual Property Rights (ITSO) as part of their Educational Tour conducted on March 26, 2024. This initiative aimed to familiarize the students with the functions and importance of TTLO and ITSO within the academic and entrepreneurial landscape. Such exposure equips them with a broader understanding of intellectual property rights and technology transfer processes, which are valuable assets as they pursue careers in entrepreneurship and innovation.







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Photos 1–3 (From left to right) highlights the orientation presentation of TTLO Director Kenneth Ciudad to the 2 batches of BS Entrepreneur students during their educational tour at CSU Main Campus. 40 students in total attended together with their adviser, CIPC Coordinator, Ms. Charisse D. Galusan.

D.4. Consultation Meeting

On March 7, 2024, a consultation meeting was held: TTLO Director, Mr. Kenneth Ciudad, Technical Expert - Engr. Lord Boy Silong, CEGS IP Coordinator - Engr. Jerome Amper, and SRS Trading Enterprise - Engr. Sumampong.



D.5. ICT4RM and MARVEL Consultation

On May 13-14, 2024, ICT4RM and MARVEL staff visited the office for a consultation regarding the technology transfer form required by the DOST-PCIEERD. The full-time technical experts, Engr. Lord Boy Silong and Engr. James Audrey Guian Rulona and the director, Prof. Kenneth L. Ciudad attended their inquiries and had a fruitful discussion.



D.6. Center for Language and Culture Consultation

On May 16, 2024, Ms. Shella A. Torralba, Director of the Center for Language and Culture, visited the office for a consultation on how to apply their song for copyright. The TTLO director, Prof. Kenneth L. Ciudad attended her inquiries and had a fruitful discussion.



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D.7. Client Office Consultation

D.7.1.

<u>Summary</u>
Table below indicates the summary of TTLO visitors per month during Q1 2024 and the services availed.

Month	No. of Visitors	j	Services Availed
	External	Internal	
January	11	4	Consultation
February	20	4	Visit, Consultation
March	44	3	Consultation, Orientation
April	0	1	IP Application
May	0	7	Consultation
June	5	1	Consultation
July		5	Consultation
August		6	Consultation
September	1	6	Consultation
October	1	7	Consultation /Patent Drafting
November	1	5	Consultation /IP application
December	1	5	Consultation

D.7.2. October Summary

The Technology Transfer and Licensing Office (TTLO) welcomed several clients and provided them assistance in addressing their queries and concerns. Based on interpreted data on the Client Satisfaction Measurement Survey from Quality Assurance Management Services (QuAMS), majority of the clients rated TTLO as Outstanding.



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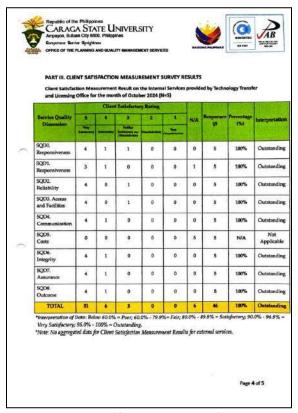
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CSMS Report for the month of October

OJT Presentation of Output (August 1, 2024)





Engr. Sumampong Consultation

Engr. Sumampong from SRS Trading Enterprise visited the Technology Transfer and Licensing Office (TTLO) on November 19, 2024 to explore potential collaborations and engage in discussions. TTLO consulted with Engr. Sumampong, providing insights and updates regarding his Utility Model application, A Machine for Pulverizing Glass Materials and a like. A follow-up meeting was also conducted to provide plans and discuss possible action plans on a formal partnership.



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D.10. Issuances of Institutional Policies Copy

It has been known that among all other SUCs/HEIs in the region, CSU-TTLO leads the path of establishing the first Intellectual Property Policy and Technology Transfer Protocol (TTP). To boost the innovative ecosystem not only in Caraga but as a nation at large, several institutions/agencies/offices have requested a copy of the following IP-related policy manual. Below are the agencies/offices that requested a copy of these policies and protocols.

- · Pampanga State Agricultural University (PSAU)
- · CSU-College of Engineering and Geosciences through Engr. Anamari Sajonia



D.11. Cebu Doctors' University Benchmarking Activity

The Technology Transfer and Licensing Office (TTLO) has organized and facilitated the benchmarking visit of Cebu Doctors' University on November 13-14, 2024. During the visit, the TTLO provided an overview of its operations, including the ongoing program, the RAISE Program, which includes four projects, Project 1 Intellectual Property and Technology Business Management (IPTBM), Project 2 Agri-Business Hub (ABH), Project 3 Agri-Aqua Technology Business Incubator (ATBI), and Project 4 Knowledge Management (KM) Hub. The benchmarking activity also included a campus tour from the main campus and the Cabadbaran campus, allowing the visitors to gain insights into the university's facilities, programs, and best practices.



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E. ACTIVITIES PARTICIPATED

Engaging in caravans, exhibits, and various activities is just one facet of the initiatives undertaken by the office to enhance IP awareness and education. These endeavors serve as proactive approaches to disseminating information about technologies and their potential benefits. Beyond merely showcasing technologies, these initiatives create opportunities for interactive learning and dialogue, facilitating a more comprehensive grasp of the importance of intellectual property in various fields.

E.1. Caraga Regional Development Plan 2023-2028 Provincial Caravan

Participation in the Caraga Regional Development Plan 2023–2028 Provincial Caravan hosted by the National Economic and Development Authority (NEDA) Caraga on January 31, 2024, at Almont Hotel, Butuan City. This event was participated with CSU's RDI Centers including the two (2) Technical Experts (TEs) of TTLO.





Photos highlight the participation of the TTLO TEs in the conducted Caraga Regional Development Plan 2023-2028 Provincial Caravan, held at Almont Hotel on January 31, 2024.



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2024 ITSO Presidents' Summit and Managers' General Assembly

Participation of the CSU-TTLO in the 2024 ITSO Presidents' Summit and Managers' General Assembly where Presidents, ITSO Managers, and ITSO Technical Staffs of different universities gathered. The event was held at the Dusit Thani Hotel, Makati City, Philippines on March 18-19, 2024, with the theme "ITSO: Celebrating Collaboration, Cultivating Innovation". The 2-day event was held to recognize and award the Innovation and Technology Support Office (ITSO) members who have diligently fulfilled the Innovation and Technology Support Office (ITSO) 2.0 Clustering deliverables for 2023. During the event updates in the ITSO program implementation, aimed at empowering ITSOs to generate more innovative and impactful outputs stemming from their research and development endeavors were also presented.

In light of this, Caraga State University (CSU) sent out delegates to the event: Dr. Rolyn C. Daguil, Dr. Jeffrey T. Dellosa, and Prof. Kenneth L. Ciudad as they were specifically invited to join and participate in the activity. Dr. Dellosa, the former ITSO Manager from 2015 to 2022, was invited to give a talk on the best practices of the office that helped achieve a grand slam (three years in a row) of the Platinum Award, the highest recognition from IPOPHL.





Photos 1 (Left) and 2 (Right): Delegates of Caraga State University with the Director General of IPOPHL with Dr. Rolyn C. Daguil, Atty. Rowel S. Barba, Dr. Jeffrey T. Dellosa, and Prof. Kenneth L. Ciudad (from left to right). Representatives of Caraga State University receiving the Platinum Award (right photo).





Photos 3 and 4 (Left to right): Presidents of different universities with the IPOPHL personnels (Left). Fourth (4th) Platinum Award of Caraga State University(Right).

TechReady 2024: A Capacity Building on IP Valuation

Participation of the CSU-TTLO in the on-going TechReady 2024: A Capacity Building on IP Valuation. The event is being conducted at the Watergate Pavillion, Butuan City on May 14-17, 2024. The 4-day event focuses on capacitating individuals.

In light of this, Caraga State University (CSU) Main Campus and CSU Cabadbaran



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Campus (CSUCC) have each sent out three participants. From CSU Main, the participants are Prof. Kenneth L. Ciudad, Engr. Juven Luzorata, and Ms. Angelica Labiano. From CSUCC, the participants are Prof. Joy Lyn Dela Cruz, Ms. Charisse Galusan, and Engr. Novie Dave Perez.



E.4. 1st Caraga Region Agriculture and Fisheries Technology Exhibition (CRAFTe) Caravan Participation in the 1st Caraga Region Agriculture and Fisheries Technology Exhibition (CRAFTe) Caravan hosted by the Department of Agriculture - Caraga on July 11, 2024, at Trento Research Experiment Station, Agusan del Sur. This event was participated with Caraga Food Innovation Center, TARA Agri-Aqua TBI, and RAISE Program in Caraga of Caraga State University including the faculty Technical Expert (TE) of TTLO, Engr. Juven G. Luzorata and the RAISE Program Project Technical Assistants.





Photos highlight the participation of the TTLO TE and RAISE PTAs in the conducted 1st Caraga Region Agriculture and Fisheries Technology Exhibition (CRAFTe) Caravan, held at Trento Research Experiment Station, Agusan del Sur on July 11, 2024.

E.5. LIKHA Summit Exhibit

TTLO has been participating in various technology exhibits. This quarter, TTLO participated during the LIKHA Summit last October 28–30, 2024. The TTLO booth attracted students, faculties, and representatives from various agencies, providing them with valuable insights about the operations of the office. Visitors also had the opportunity to learn about Intellectual Property (IP), its importance, and the services offered by the office. A total of three exhibits were participated by the office this year.



Competence Service Uprightness











F. PERSONNEL CAPACITY-BUILDING ACTIVITIES

PARTICIPATES AND COMPLETES WEBINARS AND DISTANCE LEARNING COURSES REQUIRED BY THE IPOPHL AND THE WORLD INTELLECTUAL PROPERTY ORGANIZATION (WIPO)

IPOPHL: iLEAP Plus Program free webinars F.1.1.

- iLEAP Plus: Responding to Trademark Office Actions
- iLEAP Plus on Patents and Utility Models: Protecting your Inventions and **Innovations**
- iLEAP Plus x Inventor Assistance Program: Introduction to Patent Claims Drafting
- iLEAP Plus: Responding to Trademark Office Actions
- iLEAP Plus on Patents and Utility Models: Protecting your Inventions and Innovations
- iLEAP Plus x Inventor Assistance Program: Introduction to Patent Claims Drafting
- iLEAP Plus on IP Enforcement
- iLEAP Plus on Copyright: Registering Your Creative Works with IPOPHL



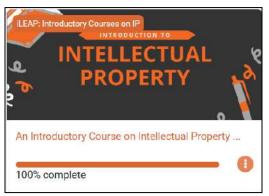




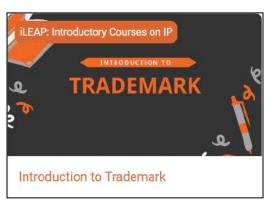




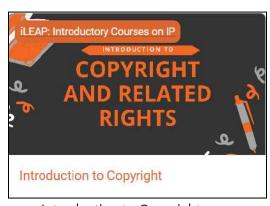
<u>F.1.2.</u> Enrollment to courses of IPOPHL Learning Activities Workspace (ILAW) The TTLO technical experts (TEs) enrolled in online courses offered by the Intellectual Property Office of the Philippines (IPOPHL). These courses comprise of Introductory Course on Intellectual Property, Introduction to Copyright, Introduction to Trademark, and Introduction to Patents. In addition to these, the TEs also participated in an IPOPHL-conducted webinar on IP Enforcement on October 22 2024



Introductory Course on Intellectual Property



Introduction to Trademarks



Introduction to Copyright

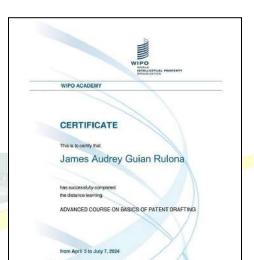


Introduction to Patents

F.1.3. WIPO Distance Learning Course

TTLO personnel, specifically the full-time Technical Experts, Engr. James Audrey Guian G. Rulona and Engr. Lord Boy C. Silong, successfully passed the Advanced Course on Basics of Patent Drafting (DL-320).











F.1.4. Paper B Training for ITSOs

Technical Experts and the TTLO Director participated in the Paper B Training for ITSOs conducted by the IPOPHL to guide the ITSO personnel in responding to an Examiner's Action, especially in ITSO that have a pending response to, or anticipating, an Examiner's Action.



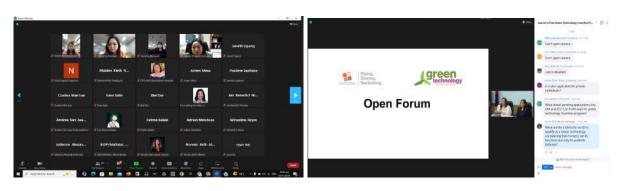


F.1.5. IP Forward - IP Enforcement Edition



F.1.6. Launch of Green Technology Incentive Program

- This webinar provides knowledge about the new program of the IPOPHL, which is the Green Technology Incentive Program. This program provides benefits to technologies that meet the criteria outlined in IPOPHL's guidelines, qualifying them as Green Technologies.
- Date of webinar: November 5, 2024



F.1.7. Attendance to the 2024 S&T Recognition and Awarding Ceremony and 52nd PCAARRD Founding Anniversary Celebration: Launching of RAISE RTMS

The TTLO Director was invited to attend the S&T Recognition and Awarding









Ceremony organized by DOST-PCAARRD, which coincided with the agency's 52nd founding anniversary. This event not only celebrated outstanding achievements but also served as a platform to reconnect and strengthen relationships among various State Universities and Colleges (SUCs). Notably, our very own CCAARRD also received two awards under the leadership of Dr. Raquel Balanay. This recognition serves as an inspiration for the TTLO and the entire university to continue striving for excellence in all our endeavors.





G. POLICY DEVELOPMENT

The Technology Transfer Licensing Office (TTLO) remains dedicated to the ongoing development and implementation of policies and processes within Caraga State University (CSU). These initiatives are designed to facilitate the protection of intellectual property (IP) and streamline the university's technology commercialization pathway. By establishing and refining these policies, the TTLO plays a pivotal role in creating an environment that nurtures innovation, safeguards intellectual assets, and promotes the successful transition of technologies from research to commercial applications within the CSU community.

G.1. Development of Proposed Intellectual Property Courses G.1.1. Advance Course in Intellectual Property Management and Patent Application

Module No.	Title
1.1	Introduction to IP Management
The IP Policy Environment	
	Types of IP Protection
	Preparing Technology Disclosures (using SUC technologies, includes preparation of drawings)
1.2	Prior Art Search
	Prior Art Search (Lecture on patent search types, classification, tools and strategies; actual search using SUC technologies)
1.3	Invention Spotting (Lectures and actual invention spotting)
2.1	Claim Drafting; Constructing Independent and Dependent Claims
2.2	Finalization of Patent/UM Applications
2.3	Online IP application









3	Analysis of Invention Disclosure; Spotting the Invention; Analysis of Prior Art Documents; Analysis of Invention with respect to Prior Art; Constructing Independent and Dependent Claims
4	Analysis of Documents; Claim Amendments; Writing the Reply Letter; Sample Answer and Examiner's Report
5.1	Key MPEP Provisions; Writing a Complete Description; the Application Form and Cover Letter
5.2	Responding to Formality Examination Reports (FER)
5.3	Freedom to Operate (FTO)/ Infringements
5.4	IPOPHL Patent Application Requirements; International Patent System (PCT) Application Requirements

G.1.2. General Course on Intellectual Property Rights

Module No.	Title
1	Introduction
2	Copyright
3	Related Rights
4	Trademarks
5	Geographical Indications
6	Industrial Design
7	Patents
8	Unfair Competition
9	International Registration
10	New Plant Variety Protection
11	Traditional Knowledge (TK), Traditional Cultural Expressions (TCE), and Genetic Resources (GR)
12	IP and Development
13	Summary and Discussion on IP Rights

On February 23, 2024, the TTLO Director spearheaded the review of the proposed Spinoff Policy to researchers, inventors, innovators, and administrative officials, seeking feedback to enhance the policy for a more customized fit within the University. With the trend of fostering innovation and commercialization in the universities, a Spinoff Policy serves as a structured framework that defines the processes and guidelines for establishing and operating spin-off ventures or startups arising from the institution's intellectual property and research initiatives. This policy facilitates the smooth transition of innovative ideas and technologies from academia to the commercial sector, promoting entrepreneurship and economic development.





Photos taken during the presentation of CSU Spinoff Policy by TTLO Director, Prof. Ciudad to the





RDI Centers for the feedback held last February 23, 2024, at HERO's Board Room.

TTLO proposed several policies to streamline operations, enhance efficiency, and ensure compliance with relevant regulations related to intellectual property within Caraga State University (CSU). Last December 4, 2024, the TTLO Director presented before the joint Admin-Acad Councils the proposed Intellectual Property (IP)-related policies:

- Spin-off Policy (Revised);
 Protocol on the Use of CSU Trademarks;
 Protocol on the use of CSU Copyrights;
 Template Licensing/Utilization Agreement.

Prior to the presentation at the Acad-Admin Councils, the same were already provided deliberated among RDI Centers, Colleges through the CIPCs, and DOST Caraga for their comments and suggestions. These policies are designed to significantly benefit CSU by promoting innovation, strengthening research efforts, and ensuring proper management of intellectual property assets. The proposals were also found sound and compliant by CSU's Legal Unit.









H. TTLO PERFORMANCE USING BALANCED SCORECARD REPORT

GOAL 2: Interdependence and High-Impact Coalitions

Perspective	Strategic Objectives (SO)	Strategic Measure (Key Performance Indicators)	TARGET	ACTUAL (as of December 25, 2024)	Remarks
Stakeholders	Building community-focused solutions to strengthen collaborations	No. of skill development and training programs initiated for regional businesses, especially FAME MSMEs, to enhance their capabilities and competitiveness.	4	4	 Regional Technology and Intellectual Property (IP) Inventory Workshop Regional Echo of Intellectual Property Masterclass (IPMC) "Patent Journey: Mapping the Way to Academic Innovation" - Regional Patent Search Workshop Regional Communication Plan Development Workshop
Stakeholders	Intensified CSU-Industry co-innovation networks	No. of research outputs in the last three years utilized by the industry or by other beneficiaries	9	4	The following are the technologies that are commercialized by Memorandum of Agreement (MOA) and Technology Licensing Agreement (TLA): • MapX (2021) • MapX (2023) • Cacao Bean Extracting Machine (2022) • Durian Opener (2022)
Process Excellence	Robust incubation program for aspiring technopreneurs	BOR Approved CSU Spin-Off Policy	1	N/A	 Endorsed by joint Admin-Acad Councils for approval by the BOR
		Number of research creative outputs registered as intellectual property rights and utilized for commercial and industrial purposes	10	N/A	 Scheduled activities for Market Study and IP Valuation Same registered IP has been







					commercialized
		Number of research creative outputs registered as intellectual property right but not utilized commercially	10	10	The following are registered intellectual property items: a device for extracting starch from starch-producing crops, a device for drying agricultural crops, an insect trap, a device for molding cocoa mass into tablea, a herb fruit blend, Caraga Food Innovation Center (CFIC) Participation and Teaching Assistantship (Field Study 2) Laboratory Workbook in Developmental Biology Laboratory Workbook in Genetics and a guide to Philippine medicinal plants utilized by the Butuanon community.
		No. of innovations, patents, or intellectual property generated by the incubated startups	5	5	The following are the current working products:
Financial	Revenue diversification through technology commercialization	Revenue generated through the commercialization of research outcomes	500K	Php 1,272,049.18	Based from the Collection Report of the FMS on IP-related commercialization



I. CHALLENGES AND OPPORTUNITIES

- Numerous critical activities, such as the Local Inception Meeting and Year 1
 Operational Planning slated for Quarter 1 of the current fiscal year (October –
 December 2023), have encountered delays due to inadequate recommendations
 from pertinent offices and, notably, the absence of the Annual Procurement Plan
 (APP). Consequently, after five (5) postponements, it has been rescheduled for April
 10–12, 2024.
- Delays in procurement requests stem from inconsistencies in protocols and processes across the Budget Office, Accounting Office, General Services, and Procurement unit. Each approval request for our activity design necessitates numerous revisions, printing, signature acquisitions, and sometimes, realignment of LIB, contingent upon the interpretation of staff members regarding existing memorandum orders and COA rules. Often, these interpretations are later found to be inapplicable to our specific requests and fund source - TRF.
 - Certain procedures in the approval processes, such as Activity Designs, Budget Allocations, Communications, and Purchase Requests among various administrative offices, are not documented and officially communicated. This lack of clarity leads to subjective interpretations by staff, causing confusion, delays, repetition, or the need for corrections in paperwork.
 - Some critical information that demands thorough attention and time is provided at the last minute or at a very short remaining period. This practice affects the overall quality of outputs as teams are compelled to work under time constraints, potentially compromising the excellence of the delivered results.
- The Technology Transfer and Licensing Office heavily relies on external funding, particularly from projects like RAISE IPTBM, to carry out its operations. Delays in the release of funds from the Funding Institution significantly impact the efficiency and timely execution of various activities.
- Presently, none of the University's program curricula explicitly integrates a course on Intellectual Property (IP), a requirement for the ITSO Clustering Awards. While some courses touch upon IP topics, the absence of a dedicated course hinders comprehensive understanding and engagement.
- Lack of Tenure Security at the office leads to fast turnover of staffing.
- Lack of clear policies/protocols for personnel /staff transferring from one office to another within the University leads to disruption of operations.
- Inconsistent protocols for communication (e.g. imposition of 3-signatories but requiring same bureaucracy and more letters) and hiring (esp. for externally funded projects) contributed to delays and underutilization of project funds.
- Lack of specific financial management processes and administrative protocols, esp. in procurement processes, that are streamlined for externally funded projects and programs (TRF).
- Lack of clear policies/protocols for personnel /staff transferring from one office to another within the University leads to disruption of operations.

J. RECOMMENDATIONS

- To enhance procurement efficiency, it is imperative to establish clear and consistent protocols and processes across departments by developing a comprehensive procurement manual and conducting training sessions for staff members involved in procurement. Additionally, promoting early planning and coordination of activities, budgets, and procurement needs through regular meetings and discussions can help avoid last-minute requests and streamline processes. Assigning dedicated staff members or coordinators to oversee planning and coordination efforts further ensures effective communication and collaboration, ultimately improving organizational effectiveness and responsiveness.
- Advocate for improved communication practices across departments, emphasizing the importance of timely information dissemination. Implement communication protocols to ensure that critical information is shared well in advance, allowing teams to prepare and deliver high-quality outputs adequately.
- Diversify funding sources by exploring additional grant opportunities, partnerships,

Caraga State University Technology Transfer and Licensing Office CSU-Main Campus, Ampayon, Butuan City Competence Service Uprightness TECHNOLOGY TO ANSERT WWW.itso.carsu.edu.ph



or collaborative projects. Develop a financial contingency plan to mitigate the impact of delayed fund releases, ensuring essential operations can continue.

- Collaborate with academic departments to advocate for the inclusion of an IP course in relevant curricula. Highlight the importance of IP education in producing well-rounded graduates and liaise with curriculum committees to facilitate the integration process.
- Proposal of OVPRDIE for the Revised Organization and Staffing Standards (ROSS) for personnel assigned at TTLO.
- Development of clear policy/protocol for CSU personnel who wish to cut short their signed work contracts.
- Conduct of clear instructions/trainings and comprehensive information drive of communication and any other protocols that are vital to daily operations prior to their implementations.
- Dialogue between FMS and Administrative units to craft necessary policies/protocols that are streamlined, efficient and effective for various fund sources in accordance with DBM, CSC, and COA rules.

K. FUTURE GOALS AND INITIATIVES

- TTLO/ITSEstablishing the Regional Research Development and Innovation Committee (RRDIC) of the Caraga Regional Development Council (RDC) as the RAISE Advisory Council through a MOU.
- Engage in Memorandum of Understanding (MOU) with SRS Trading to ensure adoption of CSU Technologies, as partners in production and development of Agri-machines for commercialization, internship/OJT of students, and IP management.
- Conduct Regional echo seminars and trainings for the RAISE IP Master Class.
- Present before relevant stakeholders/adopters the CSU registered IPs through DOST and DTI Caraga.
- Target accomplishing of requirements for the ITSO Clustering Palladium Awards
- Activate RAISE Projects 2, 3, and 4 for the commercialization of identified potential technologies esp. those with IP protection via Project 1 (IPTBM).
- IP Valuation Seminar-Workshop
- Leverage the 'ato ini' patronization of internally-made innovative food products
- Include research-based food innovations in the commercialization efforts of the University.
- Commence operations with TBI.
- Construct FTBI and Tech Park
- Hire permanent Technical Staff and Drafter/s

Prepared by:

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