



CARAGA STATE UNIVERSITY

COMPETENCE SERVICE UPRIGHTNESS



AGENCY PERFORMANCE REVIEW REPORT

AS OF DECEMBER 31, 2021



TABLE OF CONTENTS

EXECUTIVE SUMMARY	3
BACKGROUND OF THE AGENCY	4
A. MANDATE:	4
B. VISION	4
C. MISSION	4
D. CORE VALUES	4
E. STRATEGIC OBJECTIVE	4
OBJECTIVES	6
PART A: FINANCIAL PERFORMANCE REPORT	7
PART B: PHYSICAL PERFORMANCE REPORT	26
PART C: REVENUE PERFORMANCE REPORT	31
STATUS OF LOCALLY FUNDED PROJECTS	32
INFRASTRUCTURE PROJECTS	32
RESEARCH AND DEVELOPMENT, EXTENSION PROJECTS	38
INITIATIVES AND ACHIEVEMENTS	39



EXECUTIVE SUMMARY

Our unprecedented fight against an enemy invisible to the naked eye has triggered colossal challenges for higher education across the globe. We set our footsteps into the unknown and dealt with sudden shifts and major turns that none of us could have anticipated. But instead of being defeated by fear, uncertainties, and confusion, Caraga State University's urgent and proactive responses were ushered by commitment, synergy, resiliency, and service excellence.

Our concerted response to this global health crisis has led to remarkable breakthroughs. These were enabled by efficient utilization of public funds guided by the driving impetus of transparency, accountability, and fiscal probity.

This Agency Performance Review (APR) Report embodies the accomplishments of CSU, both physical and financial as of December 31, 2021. More specifically, this report presents the performance of CSU in the different indicators for physical target set under the General Appropriations Act F.Y. 2021. The enormous feat of **achieving all the physical targets for F.Y. 2021** is further discussed in this APR Report underscoring the several facilitating factors.

Further, the report reflects the rigid and concerted efforts of the University to continuously monitor the utilization efficiency and effectiveness of public funds committed to the University's keeping in compliance with the cash budgeting system policy of the national government. The financial performance was carefully discussed to capture the clearer picture of the fiscal position of CSU with justifications.

Yielding to the mantra of the University to continuously improve and reinvent itself to keep afloat in the demands of the changing time, the report not only includes the summary of findings and in-depth analysis of the facilitating factors and the bottlenecks of achieving the physical and financial targets but also features catch-up plans and recommendations to further improve the performance of CSU in F.Y. 2022. Key learnings in the implementation of programs, projects, and activities amidst such challenging times to serve as springboards as we embark onto another fiscal year.

Overall, the University's performance reflects the current institutional adjustments and initiatives as we navigate the higher education landscape of the New Normal. Leveraging on the commitment of our growing community and synergized by meaningful partnerships with development partners and oversight agencies, we will continue to fulfill our commitment to the public we are privileged to serve as one and united CSU.



BACKGROUND OF THE AGENCY

A. MANDATE:

The University shall primarily provide advanced education, higher technological, professional instruction and training in the fields of agriculture and environmental studies, fishery, engineering, forestry, industrial technology, education, law, medicine and other health related programs, information technology, arts and sciences and other related courses. It shall undertake research and extension services, and provide progressive leadership in its areas of specialization. (Sec. 2, Republic Act No. 9854)

B. VISION

A globally-engaged University excelling in science, engineering and the arts.

C. MISSION

Caraga State University endeavors to produce globally-competitive and socially responsible human capital towards the sustainable and inclusive development of Caraga Region and beyond.

D. CORE VALUES

Competence Service; and Uprightness

E. STRATEGIC OBJECTIVE

As enshrined in the Approved BOR Resolution No. 46-14, s. 2019, the strategic goals of the CSU are as follows:

- Pursue internationalization of education
- Enhance organizational performance for internal efficiency, effectiveness and innovation
- Promote new synergies of People, Programs and Partnerships

From these strategies, the following goals are formulated:



Goal 1: Improve academic quality and relevance for national competitiveness and global engagement.

Goal 2: Provide an enriching student experience

Goal 3: Improve research productivity and utilization for inclusive socio-economic development

Goal 4: Intensify responsiveness and sustainability of community engagement

Goal 5: Sustain Quality Management System (QMS)

Goal 6: Strengthen Human Resource Management System

F. Sector Outcome

Lifelong learning opportunities ensured.

G. Organizational Outcomes

1. Relevant & quality tertiary education ensured to achieve inclusive growth and access of poor but deserving students to quality tertiary education increased
2. Higher education research improved to promote economic productivity & innovation
3. Community engagement increased

OBJECTIVES33

his Agency Performance Review (APR) Report of the Caraga State University contains an overall assessment of the Physical and Financial accomplishments of the Department. The APR Report shall also discuss its ongoing institutional adjustments and initiatives in order to address the identified internal challenges while still achieving its mandate for the people.

Specifically, this APR Report seeks to:

1. Present physical and financial accomplishments for the fiscal year;
2. Discuss and explain deviations/unmet targets; and
3. Discuss corrective measures implemented by the University and recommendations for improvements



PART A: FINANCIAL PERFORMANCE REPORT

(Amounts in Thousand Pesos)

PARTICULARS	CURRENT	CONTINUING	AUTOMATIC APPOPRIATIONS (RLIP&SAGE)	OTHERS (e.g. UNPROGRAMMED APPROPRIATIONS)	SPFs	Total
Appropriations	847,070.00	2,174.00	18,760.00		9,731.00	877,735.00
Allotments	846,070.00	2,174.00	18,760.00		9,731.00	876,735.00
Obligation Program (BED No. 1)	847,070.00	2,174.00	-			849,244.00
Actual Obligations	841,127.00	2,171.00	18,760.00		9,731.00	871,789.00
Disbursement Program (BED No. 3)	847,070.00	2,174.00	-			849,244.00
Actual Disbursements	680,654.00	1,169.00	18,746.00		9,731.00	710,300.00
Unobligated Allotment	4,943.00	4.00	-			4,947.00
Unpaid Obligation	160,642.00	1,002.00	14.00			161,658.00
(FAR 1: Obligation less Disbursement)						-
<i>Budget Utilization Rate:</i>						
Obligation vs. Allotment	99.42%	99.86%	100.00%		100.00%	99.44%
Obligation vs. BED No. 1	99.30%	99.86%				
Disbursement vs. Obligation	80.92%	53.85%	99.93%		100.00%	81.48%
Disbursement vs. BED No. 3	80.35%	53.77%				



Details of the unobligated allotments:

PAP	Purpose	Unobligated Allotments	Reason/Agency Justification
CURRENT YEAR APPROPRIATION			
A. PROGRAM			
Research Program			
Locally-Funded Projects	Conduct of activities for the different Programs/ Activities/Projects of Caraga State University as mandated in the General Appropriations Act.		The amount represents the balance between Approved Budget for the Contract (ABC) and the Contract Price for the Technical and Scientific Equipment and Other machinery and Equipment. Said remaining amount is part of FY 2021 Continuing Appropriations
Program on ITPS Development towards Upgrading			
- Capital Outlay		6.00	
Program on the Use of Biotechnology to Modernize Production of High-Value Crops			
- Capital Outlay		20.00	
Sub-total		26.00	
B. PROJECTS			
Locally-Funded Projects			Remaining amount is the balance between the Approved Budget for the Contract (ABC) and the Contract Price. Said amount is part of the FY 2021 Continuing Appropriations.
Buildings and Structures Outlay			
*Establishment of Ladies and Gents Dormitory		2,152.00	
*Construction of University Gymnasium and Cultural Center		7.00	



* Improvement of CSUCC College of Industrial Technology and Teacher Education (CITTE) Building		710.00	This is a Congressional Initiative Project and SARO was received last August 23, 2021. The remaining amount is the balance of the Approved Budget for the Contract (ABC) against the Contract Price. Said remaining amount is part of the Continuing Appropriations of FY 2021.
* Establishment of College of Tourism and Hospitality Management Building		2,048.00	
Sub-total		4,917.00	
CONTINUING APPROPRIATION			
Locally-Funded Projects			
Buildings and Structures Outlay			
Library			
* Completion of State-of-the-Art University		1.00	Remaining amount is the balance between the Contract Price and the Approved Budget for the Contract (ABC) and after obligation of Administrative Costs
* Completion of the Multi-Purpose Bldg. (CAS)		1.00	
* Improvement of University Academic Building		2.00	
Sub-total		4.00	
TOTAL		4,947.00	



General Justification

This is to provide justification on the physical and financial accomplishments pertaining to the infrastructure projects funded under GAA F.Y. 2021, viz:

a. Weather Inclement

As supported by rainfall data obtained from the DOST-PAGASA, the University has approved request for time extension from contractors due to weather inclement. It cannot be gainsaid that inclement in weather is an uncontrollable factor that severely impacts the construction projects. Inclement weather conditions may trigger a myriad of possible hazards and as such, it would be indispensable to consider the request of time extension due to weather inclement to protect construction workers to any untoward incidents especially so that safety is paramount in the implementation of the construction projects in the University.

The University also hereby reassures that prudence and diligence is strictly observed prior to the approval of the extension of time on the basis of weather inclement taking into consideration that the extension shall not exceed the number of days with heavy rainfall intensity as can be gleaned on the DOST-PAGASA Report.

b. Reprioritization of the Program of Works

Based on the National Expenditure Program (NEP) for F.Y. 2022, CSU has a total proposed appropriation of Php 326, 636, 000.00 which amounts to a sharp decrease of Php 520,434,000.00. To underscore, there are ***no appropriations*** for infrastructure projects including those that are ***supposed to be completed in F.Y. 2022***, viz:

- (1) Construction of University Gymnasium
- (2) Establishment of Ladies' and Gents' Dormitory
- (3) Provision of State-of-the Art Furnitures, Fixtures and Equipment to the Newly Constructed CEIT Complex



Upon the release of NEP 2022, the University proactively instituted measures to ensure optimal functionality of infrastructure projects which are supposedly to be completed by F.Y. 2022 but were not included in the NEP. The University was ardent in its lobbying efforts to Congress for the reconsideration of major infrastructure projects but is also cognizant on the impending discontinuance of fund support in the GAA highly attributed by limited fiscal space brought about by the COVID-19 pandemic and the implementation of Mandanas Ruling.

Perforce, the University must reprioritize the program of works (POW) to ensure optimal functioning of big-ticket infrastructure projects which affects the fund utilization of the projects due to deviation in the implementation timeline vis-à-vis the projected ones and as a consequence thereof, variation orders were implemented.

c. Variation Order

It is well-settled that variation orders may be issued by the Procuring Entity to cover any increase/decrease in quantities, including the introduction of new work items that are not included in the original contract or reclassification of work items that are either due to change of plans, design or alignment to suit actual field conditions resulting in disparity between the preconstruction plans used for purposes of bidding and the “as staked plans” or construction drawings prepared after a joint survey by the Contractor and the Procuring Entity after award of the contract, provided that the cumulative amount of the Variation Order does not exceed ten percent (10%) of the original project cost.

Taking into consideration the complexities of the vertical structures that CSU is implementing, the benefit of variation order is geared towards improving the quality standard such projects. This is also part of the commitment of the University to eliminate unnecessary costs from a project to optimize the benefits against the resource input by reducing the unnecessary costs. This measure has also facilitated in realizing the balance between cost, function and quality required of a project which collectively redound to the benefit of providing state-of-the-art learning and working space to our served clients.

The University wishes to underscore that the variation orders were perused and deliberated in a timely manner. The reasons for each variation were likewise properly identified and documented. CSU humbly maintains that construction projects encompass complex operations which cannot be accurately determined in advance despite the rigid and stringent pre-planning and planning



activities. As such variation orders were pivotal to satisfy the needs of the University for the overall project, rectify the problems faced during the implementation phase and to be able to complete the project with optimal efficiency of the public funds committed to CSU's keeping.

d. FLR Project Implementation

CSU also implemented an infrastructure project, *Construction/Improvement of College of Tourism, and Hospitality Management* amounting to Php 15, 000, 000.00. tagged as FLR which was only released only upon the authority of the Office of the Philippine President. The SARO was released in August 2021.

Details of unpaid obligations:			
PAP	Purpose	Unpaid Obligations	Reason/Agency Justification
CURRENT YEAR APPROPRIATION A. PROGRAM GENERAL MANAGEMENT SUPERVISION Personnel Services		37.00	- Includes GSIS remittance of newly hired personnel - Includes also GSIS, HDMF, PHIC and SSS remittances of personnel who are on maternity leave up to January 17, 2022



MOOE		3,909.00	• Billings for the month of December 2021 were obligated on December 31, 2021, and payment was made only in January 2022. • Includes local tax withheld for the month of December 2021 but was remitted in January 2022 • Also includes 1% retention fee to be returned 3 months after delivery for supplies and materials and 1 year for Equipment purchased
Sub-total		3,946.00	
SUPPORT TO OPERATIONS			
		28.00	• Other Supplies and materials were not delivered as of December 31, 2021, amounting to P25,000.00, thus, no payment was made • Also includes 1% retention fee of P2,844.91 to be returned 3 months after delivery for supplies
MOOE			
Sub-total		28.00	
HIGHER EDUCATION PROGRAM			



Personnel Services		68.00	- Includes GSIS remittance of personnel with Step Increment - Includes also GSIS, HDMF, PHIC and SSS remittances of personnel who are on maternity leave up to March 8, 2022.
MOOE		323.00	- Some of the Supplies and materials were not delivered yet as of December 31, 2021, thus, no payment was made. - Also includes 1% retention fee to be returned 3 months after delivery for supplies and materials purchased.
Sub-total		391.00	
ADVANCED EDUCATION PROGRAM			
MOOE		10.00	Unpaid payment for meals and snacks for the virtual orientation seminar and consultation program of Graduate Students. The obligation was not paid in FY 2021 in reference to "COA Circular NO. 2012-001 dated June 14, 2012, re: Revised Documentary Requirements for Common Government Transactions" that no transaction will be processed if supporting documents are not complete.
Sub-total		10.00	
RESEARCH PROGRAM			



MOOE		1,065.00	Supplies and materials were not delivered yet as of December 31, 2021; thus, no payment was made.
Capital Outlay		3,103.00	- Also includes 1% retention fee to be returned 3 months after delivery for supplies and materials purchased - Some of the Technical Equipment were not delivered yet as of December 31, 2021, thus, no payment was made - Includes local tax withheld for the month of December 2021 but was remitted in January 2022 - Also includes 1% retention fee to be returned after 1 year of delivery of Equipment purchased
Sub-total		4,168.00	
TECHNICAL ADVISORY EXTENSION PROGRAM			
MOOE		601.00	- Some of the Supplies and materials were not delivered yet as of December 31, 2021, thus, no payment was made - Also includes 1% retention fee to be returned 3 months after delivery for supplies and materials purchased
Capital Outlay		11.00	- Includes local tax withheld in the amount of P3,885.00 for the month of December 2021 but was remitted in January 2022 - Also includes 10% retention fee of P7,340.00 to be returned 1 year after delivery for supplies and materials purchased
Sub-total		612.00	



Total PROGRAMS		9,155.00	
B. PROJECTS			
Locally-Funded Projects			
Buildings and Structures Outlay			
*Establishment of Ladies and Gents Dormitory		57,353.00	The contractor requested time extension of 30 calendar days last August 6, 2021, due to weather inclement. During the stage of implementation, the project experienced changes of plans and specification to suit the actual field condition thus Variation Order No. 1 with additional 45 calendar days was granted. Delay of materials such as Oxygen tank due to covid 19 and Limited use of welding works due to limited access of electricity. Includes local tax withheld for the month of December 2021 but was remitted in January 2022 Includes 10% retention fee be returned 1year after completion and acceptance of the construction
*Construction of University Gymnasium and Cultural Center		36,514.00	The contractor requested time extension of 60 calendar days last November 26, 2021, due to weather inclement and was granted. But during the implementation phase the project experienced changes of plans and specification to suit the actual field condition thus Variation Order No. 1 with additional 60 calendar days was granted. Includes 10% retention fee be returned 1year after completion and acceptance of the construction



			Includes taxes withheld for the month of December 2021 but was remitted in January 2022
* Improvement of CSUCC College of Industrial Technology and Teacher Education (CITTE) Building		13,933.00	• Major shortage of supply of oxygen tanks within Agusan del Norte and neighboring provinces.
* Completion of CEITComplex		34,467.00	• Contractor requested time extension last August 6, 2021, due to weather inclement and was granted 45 calendar days. Due to some changes in the plans and specifications another 45 calendar days of time extension was added to the contract duration to suit the actual field condition as reflected in the approved Variation Order No.1. The amount allocation for variation order was taken from the savings from the 3.5% The project experienced changes in plans and specification to suit the actual field condition thus, Variation Order No. 1 &2 were prepared with the total of 90 calendar days are added to the original contract duration and were granted dated September 10, 2021 and November 29, 2021 respectively. Includes 10% retention fee be returned 1year after completion and acceptance of the construction



* Establishment of College of Tourism and Hospitality Management Building		9,234.00	• SARO was released on August 2021 Includes 10% retention fee be returned 1year after completion and acceptance of the construction
Total PROJECTS		151,501.00	
TOTA CURRENT YEAR		160,656.00	
CONTINUING APPROPRIATION			
Locally-Funded Projects			
Buildings and Structures Outlay			
* Completion of State of the Art University Library		348.00	• Materials for the landscaping and Beautification of CSUCC Athenaeum Façade and Supplies for Garden Sprinkler and Speaker, Street Lightings Materials and Accessories were not yet delivered, thus no payment was made as of December 31, 2021
* Completion of the Multi-Purpose Bldg. (CAS)		20.00	• Includes 1% retention fee to be returned after 1 year of completion for the provision of Buildings and Other structures (Completion of Multi-Purpose Building (CAS)-Installation of Primary Line PR NO: 101-21-03-101 PO NO: 101-21-04-91.
* Improvement of University Academic Building		634.00	• Undelivered supply of street lightings materials and accessories for CSUCC Acad Bldg., thus no payment was made as of December 31, 2021
Total Continuing		1,002.00	
TOTAL		161,658.00	



Financial Performance	Amount (P'000)	% of Accomplishment	Remarks
1. Obligation Rate			
Actual Obligation (FAR No. 1)	<u>871,789</u>	99.44%	
Allotment Releases	876,735		
Actual Obligation (FAR No. 1)	<u>871,789</u>	102.65%	
Financial Plan (BED No. 1)	849,244		
2. Disbursement Rate			
Actual Disbursement	<u>710,300</u>	81.48%	
Actual Obligation (FAR No. 1)	871,789		
Actual Disbursement	<u>710,300</u>	83.64%	
Monthly Disbursement Program (BED No. 3)	849,244		
Actual Disbursement (FAR No. 4)	<u>914,160</u>	89.66%	
Total Disbursement Authorities Issued	1,019,534		



Internally Generated Fund					
PROGRAM	ALLOTMENT	OBLIGATIONS	DISBURSEMENTS	OBLIGATION RATE	DISBURSEMENT RATE
Personal Services	3,764,450.60	2,074,645.38	2,003,363.02	55.11%	96.56%
MOOE	207,516,190.92	153,002,764.91	144,380,376.88	73.73%	94.36%
Financial Expenses	51,100.00	6,930.00	6,930.00	13.56%	100.00%
Capital Outlay	43,391,349.21	34,267,890.67	23,473,108.17	78.97%	68.50%
GRAND TOTAL	254,723,090.73	189,352,230.96	169,863,778.07	74.34%	89.71%



Details of unpaid obligations:

PAP	Purpose	Unpaid Obligations	Reason/Agency Justification
Personnel Services	Conduct of various activities as programmed by the different Cost Centers and students.	1,702,076.22	Budget that was supposed to fund the activities programmed by the University and those that were programmed by the students were not fully utilized due to travel restrictions and restriction in conducting face to face activities.
Maintenance and Other Operating Expenses		24,820,566.94	Students were not allowed to enter the school premises for conduct of activities such as Intramurals, League of Campus Organization Days, participation to University Days, College Days. There were activities that were programmed by the faculty and management that were not conducted due to health protocol restrictions. Non-conduct of these activities has a chain reaction on the utilization of the University's budget.
Capital Outlay		2,608,272.54	Meetings were conducted online, therefore, budget for meals and snacks were not fully utilized. About equipment, there were items that either do not have available suppliers or the suppliers refused to join the procurement process for reasons on the difficulty in delivering the items due to travel restrictions especially that equipment that are still coming from other countries.



			Regarding workforce, there were months wherein only those in the frontline were allowed to enter the University premises with limited number of persons for the protection of all working in the University because there those who were really infected by the virus and were advice to be quarantined. Another difficulty that the University experienced was the effect of typhoon Odette the reason for cancellation of various travels to LGUs which were badly affected by the said typhoon. However, despite of those odds, the University still was able to utilize 81.45% of its approved budget from Internally Generated Fund.
		29,130,915.70	



Business Related Fund					
PROGRAM	ALLOTMENT	OBLIGATIONS	DISBURSEMENTS	OBLIGATION RATE	DISBURSEMENT RATE
Personal Services	5,592,208.00	2,521,919.65	2,484,909.26	45.10%	98.53%
MOOE	28,273,384.44	16,101,525.61	14,930,702.76	56.95%	92.73%
Financial Expenses	7,000.00				
Capital Outlay	6,657,504.00	6,227,304.00	2,054,100.68	93.54%	32.99%
GRAND TOTAL	40,530,096.44	24,850,749.26	19,469,712.70	61.31%	78.35%



Details of unpaid obligations:

PAP	Purpose	Unpaid Obligations	Reason/Agency Justification
Personnel Services	Conduct of activities by the different Business Centers of Caraga State University for the Generation of Income to augment budget for research and extension endeavors as well as to support and augment the administrative expenses of the University such as purchase of equipment, furniture and fixtures, salary of Contract of Service personnel and incentive for the CSU Board topnotchers	820,420.00	There were projects in which business activities were not totally affected by COVID-19 including the rice production, Carabao Production, Coconut and Orchard Production, Dairy Processing to mention a few which generates higher income for the University. However, for some business centers, the pandemic has immensely affected the budget utilization. One of these centers was DepEd TVL which was dependent on the number of graduating high school students who were supposed to be deployed in the University's Training Center for their NC Certificate. But because of the travel and conduct of face-to-face activities restrictions, no high school students were catered by the University, thus, only minimal amount of the approved budget was used with regards to payment for the honorarium of the Trainor's and the Assessors was concerned. This also holds true to other Business Centers like the Bookshop and Marketing Center wherein most of the clients are students purchasing PE Uniforms, answer sheets among others. Since there were no PE classes conducted within the University, no students were obliged to buy PE uniforms. For Caraga Center for Geoinformatics, they were not able to conduct trainings due to travel restrictions thus no payment for professional services and honorarium. The same is the situation with Fabrication Laboratory business center wherein only few clients came in to avail their services due to travel restrictions and no outsiders were allowed to come inside the premises of the University.



Capital Outlay		380,200.00	Honorarium of the BAC members charged to income from Bid Documents were not given in 2021 since awarding of winning bidders was confirmed by the HOPE only in 2022.
		10,264,942.66	



PART B: PHYSICAL PERFORMANCE REPORT

Performance Indicators	TARGET	ACTUAL	VARIANCE	Accomp Rate	Remarks
I. Higher Education Program					
Output 1: Percentage of undergraduate students enrolled in CHED-identified and RDC-identified priority programs	65%	70.43% (7,690/10,918)	+5.43%	108.35%	Maintenance optimal functioning of online admission and enrollment system.
Output 2: Percentage of undergraduate programs with accreditation	20%	96.30% (26/27)	+76.30%	481.50%	The University underwent to virtual program accreditation with AACUP.
Outcome 1: Percentage of first-time licensure exam-takers that pass the licensure exams	101.10%	135.26% (Ave. Passing % of CSU = 76.59% / Nat'l Ave. Passing % = 56.62%)	+34.16%	133.79%	University conducted rapid online mock examinations to ensure that CSU board takers are prepared for PRC licensure examinations.
Outcome 2: Percentage of graduates (2 years prior) that are employed	65%	72.44% (1067/1473)	+7.44%	111.45%	A project team was constituted for the Graduate Tracer Study who are charged with coordinating the graduates. The team shall regularly submit their accomplishment. Active involvement among different colleges and the Alumni Office to



					intensify administration of online tracer study
II. Advanced Higher Education Program					
Output 1: Percentage of graduate students enrolled in research degree programs	70%	100% (433/433)	+30%	142.86%	Since all of the graduate students are enrolled in research degree programs, the University ensures that there are optimal functioning of online admission and enrollment system Further, online classes are conducted to ensure continuity of classes among graduate students and administration of comprehensive exam as pre-requisite in conducting research studies.
Output 2: Percentage of accredited graduate programs	20%	81.82% (9/11)	+61.82%	409.10%	The University underwent to virtual program accreditation with AACCUP
Outcome: Percentage of graduate school faculty engaged in research work applied in: actively pursuing in the last three (3) years (investigative research, basic and applied scientific research, policy research, social science research)	50%	69.86% (51/73)	+19.86%	139.72%	Provide support mechanisms and enabling policies and environment for research like incentives, rewards, time release, etc. Ensure engagement of graduate school faculty members to conduct researches



					Willpower of the administration to push implementation of NBC 461
III. Research Program					
Output 1: Number of research outputs completed within the year	55	64	+9	116.36%	The strategy is to present the research outputs during the SciTech4Dev 2021 Forum which was scheduled on September 2021. Prepare conduct of in-house research review using online platforms
Output 2: Percentage of research outputs published in internationally refereed or CHED recognized journal within the year	50%	79.34% (73/92)	+29.34%	158.68%	Conduct of in-house research review using online platforms
Outcome: Number of research outputs in the last three years utilized by the industry or by other beneficiaries	9	25	+16	277.78%	Conduct of in-house research review using online platforms.
IV. Technical Advisory and Extension Program					
Output 1: Number of trainees weighted by the length of training	1,500	9,318.00	+7,818	621.20%	Revisiting of the attendance of the training conducted considering the new normal wherein the attendance at first was not done using a google sheet.



					Close monitoring of different cost centers on the conduct of pipeline training activities for the remaining months of the F.Y. 2021 Maximizing technology as means of implementing training course/s and advisory services The online platform facilitated the engagement of stakeholders in our training activities
Output 2: Number of extension programs organized and supported consistent with the SUC's mandated and priority programs	10	31	+21	310%	Formidable commitment of the Research Development and Extension (RDE) Services of the University to contribute to the COVID-19 and TS Odette response through the vast areas of expertise. Strong commitment of the University to the Caraga Response and Recovery Plan (RRP) Forging partnership with stakeholders for funding of impactful research projects and initiatives
Output 3: Percentage of beneficiaries who rate the training course/s and advisory services as satisfactory or higher in terms of quality and relevance	70%	99.07%(6,608/6,670)	+29.07%	141.53%	Close coordination of different cost centers on the conduct of pipeline training activities of the F.Y. 2021 Maximizing technological as means of implementing training course/s and advisory services



Outcome: Number of active partnerships with LGUs, industries, NGOs, NGAs, SMEs, and other stakeholders as a result of extension activities	9	48	+39	533.33%	Formidable commitment of the Research Development and Extension (RDE) Services of the University to contribute to the COVID-19 and TS Odette response through the vast areas of expertise. Strong commitment of the University to the Caraga Response and Recovery Plan (RRP) to COVID – 19 and TS Odette. Participation of extensionist to webinars on topics relating to project implementation relating to COVID-19 response and adopting to the post pandemic new normal setting. Creation of Task Force Jeremiah pursuant to Special Order No.133, s. 2020. The task force, named after the prophet Jeremiah who is the prophet of crisis and hope, was implemented to help mitigate the impact of this global health crisis and other natural calamities such as TS Odette. Conduct of close coordination meeting with project/program on redesigning the implementation of on-going extension projects to be done virtually
--	---	----	-----	---------	---



PART C: REVENUE PERFORMANCE REPORT

Income (per BESF)	TARGET	ACTUAL	VARIANCE	Accomp Rate	Remarks/ Justifications*
Tuition Fees	63,649.00	81,401.00	17,752.00	128%	The University has collected income more than the target income
Income Collected from Students and Other Sources	82,477.00	88,127.00	5,650.00	107%	The University has collected income more than the target income
Income from Revolving Fund	12,347	18,440.00	6,093.00	149%	The University has collected income more than the target income



STATUS OF LOCALLY FUNDED PROJECTS

INFRASTRUCTURE PROJECTS

Project Title	Funding Source	Appropriation	Status
Completion of College of Engineering and Information Technology Complex	2020 GAA	62,770,098.50	Completed
	2021 GAA	168,703,276.98	On going
Establishment of Ladies and Gents Dormitory	2020 GAA	15,038,489.67	Completed
	2021 GAA	232,828,277.04	On going
Construction of University Gym & Cultural Center	2021 GAA	96,470,000.00	On going
Improvement of CSUCC CITTE Building	2021 GAA	45,823,250.08	On going
Construction/Improvement of the College of Tourism and Hospitality Management	2021 GAA	12,774,483.48	On going



Completion Of College of Engineering & Information Technology Complex Building

Building B



Annex Building





Establishment of Ladies' and Gents' Dormitory (Phase 2)





Construction of University Gymnasium and Cultural Center





Improvement of CSUCC CITTE Building





Construction/Improvement of the College of Tourism and Hospitality Management





RESEARCH AND DEVELOPMENT, EXTENSION PROJECTS

Project Title	Funding Source	Appropriation	Status
R&D Program on Producing Alternative Clean Energy and Power in Partnership with LGUs in Caraga	GAA	4,750,000.00	On-going
R&D Program on the Use of Biotechnology to Modernize Production of High-Value Crops	GAA	4,880,000.00	On-going
R&D Program on ITPS Development towards Upgrading the Wood-Based Industry	GAA	4,900,000.00	On-going
R&D Program on Economic Empowerment of Mining Host Communities towards Readiness for the Life-After-Mine	GAA	4,800,000.00	On-going
Engaging Caraga LGUs on the use of GeoSAFER Hazard Maps for Building Resilience and Reducing Vulnerability	GAA	3,094,000.00	On-going



INITIATIVES AND ACHIEVEMENTS

AWARDS AND RECOGNITIONS

10 PRC Licensure Examinations Top Notchers



Congratulations
TOP 2
Moriah P. Tambura
82.20%

Social Work Licensure Exam (SWLE)
August 2021

CSU Passing Rate : 100%
National Passing Rate: 37.75%

Social Works



TOP1
ENGR. ANDY L. MACASAET

TOP6
ENGR. MANUEL G. SARONG JR.

TOP9
ENGR. KENT F. PANTOLLA

TOP10
ENGR. DARVY JASON S. CONTRERAS

Mining Engineering



Top3
For. Claide Sol Talidro

Top4
For. Catalina Vistal Bautista

Top9
For. Gleven Cogenera Manlantao

Top10
For. Keano Raveas Fernandez Collado

Forestry



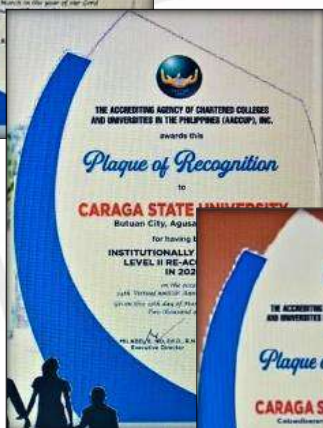
TOP10

Geodetic Engineering

CSU Bagged the Top 4 Best Performing School



TOP 4 - SUC with the Highest Number of Level II Accredited Programs in 2020



Level II - CSU Butuan City Campus - Level II Institutionally Accredited (One of the only two institutionally accredited SUCs in Mindanao)



Level I - CSU Cabadbaran City Campus - Level I Institutionally Accredited (The first institutionally accredited satellite campus in the entire Visayas and Mindanao)



TOP 12

Rank	HEI	Rank	HEI
ENGINEERING			
1	De La Salle University	21	PUP
2	UP Diliman	22	University of Mindanao
3	Mapua University	23	UP Cebu
4	Ateneo de Manila University	24	Malayan Colleges
5	TUP	25	Cavite State University
6	UP Los Baños	26	Cebu Technological University
7	Mindanao State University	27	National University
8	University of Santo Tomas	28	Bicol University
9	University of San Carlos	29	Central Mindanao University
10	UP Manila (including PGH)	30	West Visayas State University
11	Isabela State University	31	UP Mindanao
12	Caraga State University	32	Bulacan State University
13	Visayas State University	33	Ateneo de Davao University
14	TUP	34	Xavier University
15	Far Eastern University	35	DMIMMSU
16	Adamson University	36	UP Baguio
17	Central Luzon State University	37	Cebu Institute of Technology
18	University of the East	38	Pangasinan State University
19	UP Visayas (Magao & Tacloban)	39	Silliman University
20	Lyceum of the Philippines	40	Batangas State University

2021 THE IRIS LEADERSHIP LEGACY FORUMS

2021 THE IRIS LEADERSHIP LEGACY FORUMS

TOP 21

Rank	HEI	Rank	HEI
SCIENCE			
1	UP Diliman (including PGH)	21	Caraga State University
2	UP Los Baños	22	University of the East
3	De La Salle University	23	Cebu Normal University
4	UP Manila (including PGH)	24	Western Philippines University
5	Mindanao State University	25	Cavite State University
6	University of Santo Tomas	26	Xavier University
7	Ateneo de Manila University	27	Cebu Technological University
8	University of San Carlos	28	UP Cebu
9	UP Visayas (Magao & Tacloban)	29	Leyte State University
10	Central Luzon State University	30	Mariano Marcos State University
11	Mapua University	31	UP Open University
12	UP Baguio	32	Bicol University
13	Visayas State University	33	Nueva Vizcaya State University
14	Silliman University	34	St. Louis University
15	Central Mindanao University	35	Adamson University
16	UP Mindanao	36	Ateneo de Davao University
17	Far Eastern University	37	TUP
18	Benignito State University	38	PUP
19	University of So. Mindanao	39	Angeles University
20	Isabela State University	40	TUP

2021 THE IRIS LEADERSHIP LEGACY FORUMS

2021 THE IRIS LEADERSHIP LEGACY FORUMS

Caraga State University is one of the top performing universities in terms of research productivity in Science and Engineering.

ARNIS VIRTUAL COMPETITION OVER-ALL CHAMPION

MINDANAO ASSOCIATION OF STATE TERTIARY SCHOOLS INC. (MASTS) ARNIS VIRTUAL COMPETITION					
OVER-ALL STANDING					
TEAMS				RANK	TOTAL OF MEDALS
CARAGA STATE UNIVERSITY	9	3	0	1 ST	12
SURIGAO DEL SUR STATE UNIVERSITY (SDSSU)	1	4	0	2 ND	5
UNIVERSITY OF SOUTHERN MINDANAO	1	3	5	3 RD	9
COTABATO STATE UNIVERSITY – CoSU	1	0	2	4 TH	3
NORTHWESTERN MINDANAO STATE COLLEGE OF SCIENCE AND TECHNOLOGY (NIMSCST)	0	2	6	5 TH	8
CENTRAL MINDANAO UNIVERSITY	0	0	9	6 TH	9
SOUTHERN PHILIPPINES AGRI BUSINESS AND MARINE AND AQUATIC SCHOOL OF TECHNOLOGY (SPAMAST)	0	0	1	7 TH	1
DAVAO DEL NORTE STATE COLLEGE	0	0	1	7 TH	1

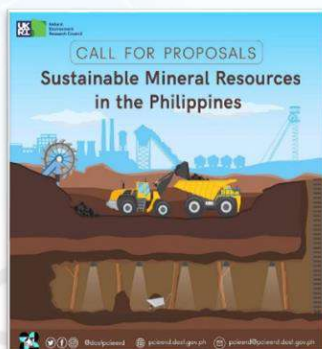
2 BEST PAPER AWARDS INTERNATIONAL COFERENCE ON RESEARCH INNOVATION AND INVESTMENT



LANGUAGE AND COMMUNICATION



GAD AND SOCIAL SCIENCES



RANK NO.1 on the recent DOST-PCIEERD and UK-NERC Call for Proposals.

Only 5 out of 18 were recommended for funding during the joint TP evaluation. March 10-11, 2021

2ND PLACE CLUSTER 3

Research title “Response to COVID-19 Pandemic through Nanotechnology Enhancement of the Filtering Capacity of the Alternative Home-made Face Masks using FP/CNC Composite Membranes as Nano-Reinforced Filters”.

This research project funded by DOST_PCIEERD is undertaken by Center for Nanoscience and Technology Research and Entrepreneurship (CeNTRE)



BEST PAPER PRESENTED 2nd International Conference on Unmanned Aerial System in Geomatics - 2021





Dr. Raquel Balanay of CAA is **ONE OF THE 8 PINAYS** awarded with the **MERYL WILLIAMS FELLOWSHIP** by the Australian Government through the Australian Centre for International Agricultural Research (ACIAR) to advance Agricultural Research Leadership.

The prestigious Meryl Williams Fellowship supports female agricultural researchers across the Indo-Pacific to improve their leadership and management skills.

FEATURED WOMAN RESEARCHER during the International Day of Women and Girls in Science.

Engr. Meriam Santillan led the GeoSAFER Mindanao R&D program in providing the S&T foundation in flood hazard management in Mindanao.



Dr. Joycelyn C. Jumawan has led R&D programs and projects in biodiversity research and in health. She has received various national citations for her research work in health.





OUTSTANDING BUTUANON AWARD AS TRANSFORMATIONAL LEADER OF THE YEAR



OUTSTANDING UNIVERSITY PRESIDENT OF THE YEAR



OUTSTANDING SCHOOL LEADER



SACEDA YOUTH LEAD MOST INSPIRING TEACHER (MIT) 2021





CSU RESEARCHERS WON AWARDS IN THE 2021 CARAGA HEALTH RESEARCH FORUM



EXCELLENCE IN DIGITAL ADVANCEMENT FOR THE YOUTH GCash Digital Excellence Awards 2021



BEST SCENARIO DEVELOPMENT PROJECT Certificate Course on Futures Thinking



NOTABLE PRESENTATION Online Course on Strategic Planning: Using Balanced Scorecard



NOTABLE SCENARIO DEVELOPMENT PRESENTATION Online Course on Strategic Foresight and Scenario Planning for Public Sector



Magkuno Awards Ceremony for Outstanding Coaches and Officials of the Caraga Association of State Universities and Colleges (CASUC)





NEW RESEARCH PROJECTS

- Needs Assessment of HEIs in BARMM towards Enhancing Their Research Competencies through an Institutional-Level Sustainable Professional Development Model
- Tech4Ed (Provision of technology access to online learning materials)

LINKAGES/PARTNERSHIPS

- Funder/Capability Building Provider (International 3)
- Funder/Capability Building Provider/ Co-implementor (National 22)
- Funder/Capability Building Provider/ Co-implementor (Regional 20)

5-Year Conservation and Development Plan for the Mayapay Ancestral Land with Caraga State University as member of the TWG for the Mayapay Ancestral Land Expanded National Greening Program Convergence Initiative (January 6, 2021)



CSU Tech4ED Center Launching and Memorandum of Agreement (MOA) Signing with Department of Information and Communications Technology (DICT) (February 24, 2021)



Partnership with the Department of Agriculture





CSU-PhilDev-AusAID Innovation for Social Impact Partnership (ISIP) Visiting Professor Program: Session 1 with Paula Mills, Visiting Professor from Academy of Entrepreneurs, Australia on March 12, 2021.



Ladderized Hospitality Program MOA between CSUCC and Northern Mindanao School of Fisheries (March 16, 2021)



CHED- British Council Access and Competitiveness through Internationalization of Higher Education (ACT-IHE) Project with CSU as partner for the English-Medium Education Teacher Training | May 19, 2021



MOU Signing on SPARTA 2 Project with DOST-PCIEERD and Development Academy of the Philippines (DAP) | May 12, 2021





MOU between BAN TOXICS and CSU on Research Cooperation | June 11, 2021



MOA Signing between CSU and the City Government of Butuan on the project, "Feasibility Study on the Establishment of a Government Buying Station for the City's Agricultural Products" denominated as "LGU Butuan Buying Station" | April 15, 2021



MOA Signing on Province-Led Agriculture and Fisheries Extension System (PAFES) Program | April 23, 2021



Signing Ceremony of the MOU between Kansas State University and SEARCA with Philippine Higher Education Institutions on Conservation Agriculture (August 24, 2021)



MOU Signing among the Members of the UC and State Universities and Colleges-Agricultural Colleges Association of the Philippines (SUC-ACAP) on CHED-SEARCA Project: Levelling-Up Philippine Higher Education Institutions in Agriculture, Fisheries, and Natural Resources (LevelUPHEI AFAR) (August 10, 2021)





Province-led Agriculture and Fisheries Extension Systems (PAFES) Program Launching and Memorandum of Agreement (MOA) Signing (July 19, 2021)



Memorandum of Agreement Virtual Signing Ceremony of the collaborative research program, Building Research and Innovation Development Goals for Engineering SUCs (BRIDGES) Program, specifically on Project AMBIANCE (Integrated Environmental Quality Monitoring System using Air Quality Sensors and Ambient Intelligence Platform (July 13, 2021)



MOA Signing with DOST and Coursera for the Partnership: 21 in 2021 Upskilling through MOOCs for Industry 4.0 Ready Filipinos @ CSUCC, Cabadbaran City



MOU Signing between the Accrediting Agency of Chartered Colleges and Universities (AACCUP) and Higher Education Evaluation and Accreditation Council of Taiwan (HEEACT)



Irrigators' Association Model Farm - MAP Irrigation System Project Turn-Over and Commencement Exercise @ Purok 8, Mat-i, Las Nieves, Agusan del Norte





NATIONAL/INTERNATIONAL WEBINARS/ CONFERENCES & WORKSHOPS

Certificate Course on Futures Thinking Batch 2 at Graduate School of Public and Development Management, Development Academy of the Philippines (March 11-12, 2021)



National Webinar Workshop for Centers of Excellence (COEs) and Centers of Development (COD) in Teacher Education with a Theme, "Pandayan sa Kahusayan: COEs and CODs Moving Forward Towards the New Normal



2-Day Student-Faculty Mathematical and Statistical Webinar-Conference (January 7, 2021)



Pre-implementation Meeting on the Readiness of MSMEs for Industry 4.0: Capability Building on Emerging Technologies and other related fields in support to the iSTART, SET-UP and other STI Projects Hosted by DOST Caraga



Writing and Packaging fundable research proposals in the Social Sciences (March 4-5, 2021)



Journal Management for Science Journal Editors (February 26, 2021)



Revitalizing Austronesian-Philippine Indigenous Languages organized by the MSU Graduate Studies Department (March 2, 2021)



Conducted a One-day Virtual Research Congress (January 15, 2021)





Entrepreneurial University Preparedness with Ms. Paula Mills of the Academy of Entrepreneurs Australia



IPOPHIL-WIPO Webinar: Alternative Dispute Resolution in Mobile Application Disputes



Online Mandarin Class offered by National Quemoy University, Taiwan



ISIP Visiting Professor Program offered by the Academy of Entrepreneurs, Sydney, Australia



2021 National Student Orientation on Going Back to School through Limited Face-to-Face (LF2F) Classes



AACCUP Webinar-Workshop on the New Guidelines for Level III and IV Program Accreditation System



Philippine Quality Assurance (PQA) Award Application Development



CPD Accreditation System Information Drive Webinar Conducted by the Professional Regulation Commission





Construction Innovations for Smarter and Greener Built Environment



IP Awareness: Basics of Intellectual Property and Patent Search to Senior High School Students



College of Education - CSU Virtual Training/Workshop on Curriculum Review in collaboration with Cebu Normal University



Mangroves Rehabilitation and Conservation (A Capability-Building and Enhancement for Cabadbaran Science Educators and Environmental Advocates



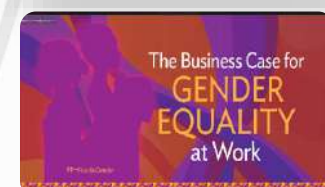
Writing and Publishing Social Science Researches



Enhancement of Instructional Materials of the College of Tourism and Hospitality Management



The Business Case for Gender Equality at Work



Workshop on the Preparation of OBEdized Syllabus for Flexible Learning with TOS, Rubric, and Teaching-Learning Activities (TLAs)





Ethics in Human-Participant Research Workshop hosted by the Philippine Social Science Council (PSSC)



Capacitating Trainors in the Implementation of the National Service Training Program (NSTP) 2



Mock Job Interview Guidelines and Processes



Curriculum Review and Stakeholders' Consultative Forum



Public-Sector Productivity: Ensuring Public Services in the New Normal organized by the Development Academy of the Philippines and Asian Productivity Organization (August 25, 2021)



Software for Atmospheric Chemistry by CSU's Balik Scientist, Dr. Christian Mark Salvador from the University of Gothenburg, Sweden (August 24, 2021)



Public Service Values in Times of Adversities organized by the Civil Service Commission Caraga (August 19, 2021)





ASEAN Identity and Curriculum Integration (August 17, 2021)



Knowledge Management in the Public Sector organized by the Development Academy of the Philippines (DAP) and Asian Productivity Organization (APO) (August 11-13, 2021)



Advanced Features of the Masao Learning Management System (LMS) and Reconstruction of Course Syllabi organized by the CSU CAA at Amontay Beach Resort, Nasipit, Agusan del Norte (August 12, 2021)



GAIN Learning Session: Using Global Digital Standards and Frameworks in Talent Cycles, Human Resource Development Plans, and Student Competencies (August 11, 2021)



Prepared by:


MICHELLE V. JAPITANA, D.Eng.
Director, Planning and Development


MA. VICTORIA M. LABISTO, CPA
Director, Financial Management Services

Endorsed by:


ANTHONY M. PENASO, Ph.D.
University President