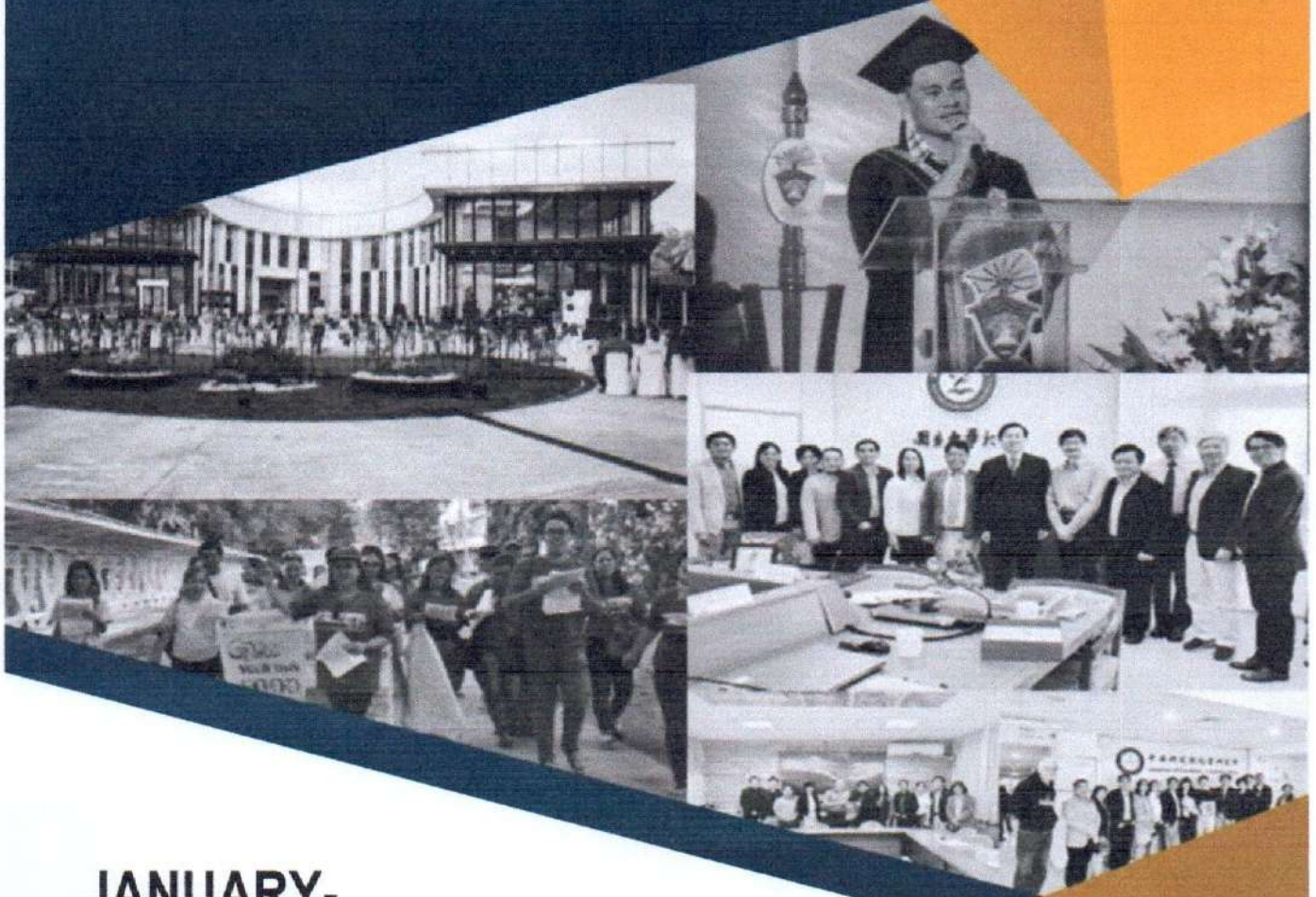


AGENCY PERFORMANCE REVIEW REPORT

2019



**JANUARY-
DECEMBER**

CARAGA STATE UNIVERSITY





CARAGA STATE UNIVERSITY **AGENCY PERFORMANCE REVIEW REPORT (APRR)** **January-December 2019**

Executive Summary

The Caraga State University (CSU) is an institution of higher learning, which places highest stake and commitment to excellence. By virtue of its mandates in RA 9854, it is challenged to make a difference in its direct impact area – the Caraga Region which happens to be among the poorest regions in the country. Republic Act 9854 embodies the legislative bill creating the university with the prospect of retooling it under new and tougher challenges, whereby the present capacity and untapped potential have to be harnessed in preparation for strategic advantages.

CSU has reached a defining moment and brought a lot of key accomplishments continuous pursuit towards excellence. This year, the University continuous to gain momentum as it bolster its accomplishments in the realm of research productivity, curriculum and instruction, faculty development programs, trainings, and production, board examination performance, linkages, and the journey towards internationalization. These are concrete proofs that the University is on its track as it marches forward towards the realization of its mission and vision.

This review shall primarily assess the agency's physical, financial and income performances for the first semester of the year from January 1, 2019 to December 31, 2019 based on its Organizational Outcomes with its corresponding Outcome and Output Indicators under the FY 2019 General Appropriations Act or the GAA. At the onset, the DBM conducts periodic monitoring of Caraga State University (CSU) program and/or project implementation and fund utilization through the Full-time Delivery Unit (FDU) meetings every quarter and evaluation of reports submitted such as the Budget Execution Documents (BEDs) and Budget and Financial Accountability Reports (BFARs).



I. Strategic Objective

CSU envisions being a globally-engaged University excelling in science, engineering, and the arts. In the pursuit of academic excellence, its mission is to produce globally-competitive and socially responsible human capital towards the sustainable and inclusive development of Caraga Region and beyond.

To develop a tangible blueprint towards the attainment of its vision, mission and aspirations, a strategic plan is indispensable. Its formulation establishes a concrete guide for future directions and actions in performing tasks set forth and are expected of CSU as an institution of excellence. The macro development agenda of the university is an offshoot of rigorous deliberation for the university's directions and aspirations considering its resources.

As enshrined in the Approved BOR Resolution No. 46-14, s. 2019, the strategic goals of the CSU are as follows:

- A. Pursue internationalization of education
- B. Enhance organizational performance for internal efficiency, effectiveness and innovation
- C. Promote new synergies of People, Programs and Partnerships

From these strategies, the following goals are formulated:

Goal 1: Improve academic quality and relevance for national competitiveness and global engagement.

Goal 2: Provide an enriching student experience

Goal 3: Improve research productivity and utilization for inclusive socio-economic development

Goal 4: Intensify responsiveness and sustainability of community engagement

Goal 5: Sustain Quality Management System (QMS)

Goal 6: Strengthen Human Resource Management System

II. Background

The review and evaluation of agency performance is one of the commitments which the DBM shall deliver to its client-agencies. This review shall primarily assess the agency's physical, financial and income performances for the whole year from January 1, 2019 to December 31, 2019 based on its Organizational Outcomes with its corresponding Outcome and Output Indicators under the FY 2019 General Appropriations Act or the GAA. At the onset, the DBM conducts periodic monitoring of Caraga State University (CSU) program and/or project implementation and fund utilization through the Full-time Delivery Unit (FDU) meetings every quarter and evaluation of reports submitted such as the Budget Execution Documents (BEDs) and Budget and Financial Accountability Reports (BFARs).

Also, this performance review shall determine the bottlenecks of project implementation and recommend interventions and/or catch-up plans to ensure that goods and services are fully delivered and generally, improve agency's performance.



III. FY 2019 PHYSICAL TARGETS AND ACOMPLISHMENTS (January – December 2019)

Program/ Performance Indicators	FY 2019 Physical Targets/ Accomplishments										
	Targets					Actual					Variance
	Q1	Q2	Q3	Q4	Total	Q1	Q2	Q3	Q4	Total	
Higher Education Services											
Outcome Indicators											
1 - Percentage of first-time licensure exam-takers that pass the licensure exams	-	-		101% of NPR	101% of NPR	CSU Passing Rate: 55.88% (38/68) Nat'l Passing Rate: 46.29% (20,699/44,718) Accomplishment: 120.73%	CSU Passing Rate: 77.27% (17/22) Nat'l Passing Rate: 67.62% (1,829/2,705) Accomplishment: 114.28%	CSU Passing Rate: 76.43% (467/611) Nat'l Passing Rate: 56.52% (73,742/130,470) Accomplishment: 135.23%	CSU Passing Rate: 57.79% (152/263) Nat'l Passing Rate: 45.68% (6,415/14,042) Accomplishment: 126.51%	CSU Passing Rate: 69.92% (674/964) Nat'l Passing Rate: 53.50% (102,685/191,935) Accomplishment: 130.69%	+29.69%
2 - Percentage of graduates (2 years prior) that are employed	-	60%		-	60%				82.92% (631/76)	82.92% (631/761)	+22.92%
Output Indicators											
1 - Percentage of undergraduate student population enrolled in CHED-identified and RDC-identified priority programs	-	50%		-	50%			87.20% (6,781/7,776)		87.20% (6,781/7,776)	+37.20%



2 - Percentage of undergraduate programs with accreditation	-	10%		-	10%				74.07% (20/27)	74.07% (20/27)	+64.07%
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Advanced Education Services

<i>Outcome Indicators</i>											
1 - Percentage of graduate school faculty engaged in research work applied in any of the following:											
b. actively pursuing in the last three (3) years (investigative research, basic and applied scientific research, policy research, social science research)	-	%		-	10%				65.57% (40/61)	65.57% (40/61)	+55.57
<i>Output Indicators</i>											
1 - Percentage of graduate students enrolled in research degree programs		0%		-	60%			100% (719/719)		100% (719/719)	+40%
2 - Percentage of accredited graduate programs	-	-	10%	-	10%				60% (6/10)	60% (6/10)	+50%

Research Services

<i>Outcome Indicators</i>											
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1 - Number of research outputs in the last three years utilized by the industry or by other beneficiaries	-	-	-	5	5	5	3	4	3	15	+10
<i>Output Indicators</i>											
1 - Number of research outputs completed within the year	-	10	20	25	55	16	34	19	32	101	+46
2 - Percentage of research outputs published in internationally-refereed or CHED recognized journal within the year	-	-	25%	25%	50%	18.18% (6/33)	36.36% (12/33)	15.15% (5/33)	24.24% (8/33)	93.94% (31/33)	+43.94%
Technical Advisory Extension Services											
<i>Outcome Indicators</i>											
1 - Number of active partnerships with LGUs, industries, NGOs, NGAs, SMEs, and other stakeholders as a result of extension activities	-	-	2	3	5	52	8	14	20	94	+89
<i>Output Indicators</i>											
1 - Number of trainees weighted by the length of training	-	333	333	334	1,000	579.50	580	801	599.	2,560	+1,560



2 - Number of extension programs organized and supported consistent with the SUC's mandated and priority programs	-	-	3	7	10	4	3	2	3	12	+2
3 - Percentage of beneficiaries who rate the training course/s and advisory services as satisfactory or higher in terms of quality and relevance	-	-	30%	40%	70%	58.28% (542/930)	82.12% (372/453)	99.17% (479/483)	97.82% (403/412)	78.84% (1,796/2,278)	+8.84%

IV. Budgetary and Financial Accountability Report as of December 31, 2019

a. Current Year's Budget

Allotment Class	GAA	CONTINUING APPROPRIATION	SARO	Realignment	Total
Personnel Services	162,636,332.00		23,732,811.00	6,134,242.00	192,503,385.00
MOOE	50,768,000.00			-6,134,242.00	44,633,758.00
Financial Expenses					
Capital Outlay	160,000,000.00	464,208.93			160,464,208.93
RLIP	14,101,195.00				14,101,195.00
TOTAL	387,505,527.00	464,208.93	23,732,811	0	411,702,546.93



b. Utilization Rate

Allotment Class	Total Allotment	Obligation	Disbursement (including AP and TRA)	Obligation BUR	Disbursement BUR	Unobligated Allotment
Personnel Services (including RLIP)	206,604,580.00	206,604,580.00	209,930,863.38	100%	101.61%	
MOOE	44,633,758.00	44,528,897.81	48,391,185.96	99.77%	108.67%	104,860.19
Financial Expenses						
Capital Outlay	160,464,208.93	160,381,158.17	161,114,934.58	99.95%	100.46%	83,050.76
TOTAL	411,702,546.93	411,514,635.98	419,436,983.92	99.95%	101.93%	187,910.95



c. Income

Nature of Income (in thousand pesos)	FY 2019 Receipts		Collection Performance	FY 2019			Utilizatio n Rate	Disbursem ent Rate
	Target	Actual		FAR 2				
		(Jan.-December)		Approved Budget	Utilization	Disbursement		
Tuition Fees	57,869,240.00	86,269,604.10	160.73%	203,718,988.74	171,394,694.08	152,408,425.29	84.13%	88.92%
Income Collected from Students	71,740,692.16	128,028,184.81	169.06%					
Income from Other Sources								
Income from Revolving Fund	8,760,388.00	14,561,925.02	166.22%	25,229,061.94	14,993,743.07	12,400,366.56	59.43%	82.70%
Total Internally Generated Income (Receipts)	138,370,320.16	228,859,713.93	163.40%	228,948,050.68	186,388,437.15	164,808,791.85	81.41%	88.42%
Inter-Agency Trust Receipt								
Total Custodial Fund								



VI. STATUS INFRASTRUCTURE PROJECTS

1. Construction of State-of-the-Art Library Phase IV (Completion) % of completion: 66.19%



2. Construction of College of Engineering and Information Technology Complex % of completion: 54.09%



3. Construction of Multi-purpose CAS Building (Phase-III) % of completion: 73.75%





Summary of Findings

A. Physical Targets/ Accomplishment

Higher and Advanced Education Services

- The culture of excellence is imbued across the CSU community. This is evinced by not only surpassing the targets for the first-time licensure exam-takers that pass the licensure exams. In fact, in 2019 alone, the University was able to produce 13 topnotchers across four (4) programs, Geodetic Engineering, Mining Engineering, Education, and Forestry. Geodetic Engineering ranked 3rd as the top performing school on the 2019 PRC Licensure Examination and bagged the Top 1 among the 4 topnotchers produced by said program. CSU also achieved a historic feat when it produces the first ever sets of topnotchers for Education, including the 1st ever Summa cum laude conferred by the University.
- CSU has also reaped the fruition of its unwavering efforts towards academic excellence when the University was identified as best schools, according to localpulse.net, in the following:
 - a) Rank 2 in Geodetic Engineering
 - b) Rank 3 in Agricultural and Biosystems Engineering
 - c) Rank 4 in Forestry
- Contributory also to the attainment of the targets under the Higher Education Services is the fact that several programs are identified as Center of Development (COD), viz: BS Mathematics, MS Math, BS Biology and BS Information Technology. The University aspires to expand the number of programs identified as COD and catapult the CODs as Centers of Excellence (COE).
- While the University has still reached the targets both for the number of accredited undergraduate and graduate programs, it is worth telling that there are accreditable programs whose accreditation statuses have already expired as December 31, 2020. This is attributed to the unavailability of AACCUP (Accrediting Agency of Chartered Colleges and Universities in the Philippines) accreditors on the scheduled set by the University for accreditation.

Research and Technical Advisory Extension Services

- For the past three (3) years, Caraga State University continues to harvest its feats in the field of research which is a clear manifestation of the competence and efficiency of project management strategies among its faculty researchers. The competence and track record of CSU's faculty researchers also paved the way in achieving high approval rates of the submitted research proposals from different funding agencies.



- The success of CSU's faculty members are also complemented with the strong support of the administrative arm of the university in providing research-enabling facilities and highly functional and efficient fiscal management of the university. With these mentioned, the Caraga State University has achieved beyond what was slated as targets based on the outcome or output indicators.
- True to its mission, the University strived to conduct engaging and high-impact research projects that could produce research outputs that are directly beneficial to its partners. As such, CSU was able to produce 15 research outputs that were utilized by our partners, particularly the LGUs and regional line agencies like the Bureau of Fisheries and Aquatic Resources and the Department of Agriculture. These research outputs include mapping products from the GeoSAFER Agusan Project, LiDAR 2 Project, Aqua-R, and EPRiMA Project.
- Also, the culture of research and publishing research outputs in ISI or Scopus-indexed international journals is already established in the university. The faculty researchers embraced the importance of having internationally-refereed journals not only for their professional growth but also to contribute to the university's endeavors in AACUP accreditation and SUC Levelling, and to that of the university's internationalization goals. Hence, for the year 2019, CSU was able to 101 research outputs presented in regional, national and international scientific fora and publish 31 international journal publications.
- The Caraga State University's efforts in building its reputation in research and extension world are already emanating in the way CSU was able to establish partnerships. Most of the time, CSU does not need to go everywhere to attract partner agencies as they are already the ones who signify their interests in tapping the expertise and technical know-how of CSU's pool of experts. At present, CSU was able to initiate 12 extension programs that are consistent to the mandate and priority programs of its respective colleges and research centers. Also, the university has a total of 94 active partnerships from local, regional, and international agencies and institutions. In terms of extending technical expertise, there are a total of 2560 participants in the various trainings conducted for the year 2019, 78.84% of which are highly satisfied and rated the various training courses as satisfactory or higher.

B. Financial Targets/ Accomplishment

The following are the identified areas of improvement and challenges encountered by the University in its pursuit to comply with the Financial Targets:

- Salaries of JOs for the period Dec 23-31, 2019 were paid on January 2020 including remittances.
- The overload of faculty Members for 1st semester, A.Y. 2019-2020 was disbursed on January 5, 2020.



- Bill for the month of December was received on January 2020 thus, obligated on December 27, 2019.
- Supporting documents for reimbursement of travel, supplies, materials, equipment outlay are still for completion.
- The printing and binding of the 2018 Yearbook is still pending since the proofreading of the same is still ongoing.
- Procurement of motor vehicle for 2019 was deferred due to the change of price and as such, prior approval from the Office of the President of the Philippines and the BOR must be secured in order to pursue to the procurement of the same.



Overall Agency Comments/Recommendations

In view of the performance of Caraga State University's performance on its Physical and Financial Targets for F.Y. 2019, the following are hereby recommended:

- Closely coordinate AACCUP so that the postponed accreditations scheduled last F.Y. 2019 will be pushed through within the first quarter of F.Y. 2020.
- Link the graduates produced to different partner agencies and industries to supply their corresponding needed workforce in order to further increase the employability.
- Sustain highly functional Internal Fiscal Committee and regular conduct of meeting of said committee to serve as a venue to apprise the different offices on the fiscal performance of the University and come-up with a collaborative and holistic action plan for any possible bottlenecks.
- Continue intensified monitoring and evaluation protocols of infrastructure projects. As practiced, the University conducts weekly monitoring of the status of these projects and official reports are furnished by the Office of Physical Plant and General Services.
- Ensure that cost centers are compliant to their respective Work and Financial Plan.
- Observance of conduct of Pre-construction meeting with winning bidders, end-users, and implementing units.
- Conduct of early cascading of University targets to University targets to different colleges and offices/units concerned to ensure that these targets are integrated in their respective deliverables.
- Regularly assess the Strategic Plan to determine the progress and performance of the University on its strategic goals and objectives.
- Conduct policy review and procedures adopted in order to proactively address the concern on the completeness of documentary requirement.
- Planning for plans and designs for proposed F.Y. 2021 Proposed Infrastructure Projects



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