



Caraga State University (CSU)

- 2018 AGENCY PERFORMANCE REVIEW REPORT (APRR) –

I. Executive Summary

Caraga State University has reached a defining moment and brought a lot of things to be thankful about with some remarkable changes and major accomplishments for the past years. In 2018, the university's performance in quality assurance and quality management system measured and demonstrated in the number of accredited programs, improved quality management system through ISO 9001:2015 certification and SUC leveling status, research productivity, improved curriculum and instruction, improved faculty development programs, trainings, and production, remarkable board examination performance, linkages, and the journey towards internationalization are proofs that the university is able to achieve its vision and never ceases to grow as time marches on.

II. Objective

The review and evaluation of agency performance is one of the commitments which the DBM shall deliver to its client-agencies. This review shall primarily assess the agency's physical, financial and income performances for a full year round from January 1, 2019 to December 31, 2018 based on its Organizational Outcomes with its corresponding Outcome and Output Indicators under the FY 2018 General Appropriations Act or the GAA. At the onset, the DBM conducts periodic monitoring of Caraga State University (CSU) program and/or project implementation and fund utilization through the Full-time Delivery Unit (FDU) meetings every quarter and evaluation of reports submitted such as the Budget Execution Documents (BEDs) and Budget and Financial Accountability Reports (BFARs).

Also, this performance review shall determine the bottlenecks of project implementation and recommend interventions and/or catch-up plans to ensure that goods and services are fully delivered and generally, improve agency's performance.

III. Background

The Caraga State University, formerly known as the Northern Mindanao State Institute of Science and Technology (NORMISIST), was first conceived in 1918 as the Agusan Agriculture High School. On February 14, 1920, Proclamation Number 4 was passed by the American Governor to the Philippines Francis Burton Harrison, declaring the reservation of a 232-hectare virgin forest land in Barrio Ampayon, in the Municipality of Butuan, province of Agusan del Norte as the grounds of the young school.

As Caraga Region rapidly grew and developed in both agricultural and industrial sectors, so did the necessity for greater capability building in matters of technical aptitude to run and manage indispensable machineries. In lieu of this, House Bill No. 25 was passed converting NORMINAC to the Northern Mindanao State Institute of Science and Technology (NORMISIST). Through the course of its growth, the Institute has been served by six presidents who have exemplified deep commitment for academic development and progress, thereby completing meaningful contributions that have cemented the Institute's path towards greater improvement. RA 9854 established the Caraga State University in the Region by integrating the Northern Mindanao State Institute of Science and Technology (NORMISIST) of this city and the Northern Mindanao College of Science and Technology (NMCAST) in Cabadbaran, Agusan del Norte with its main campus in Butuan City.

The university envisions being a globally-engaged University excelling in science, engineering, and the arts. In the pursuit of academic excellence, its mission is to produce globally-competitive and socially responsible human capital towards the sustainable and inclusive development of Caraga Region and beyond. It shall primarily provide advanced education, higher technological, professional instruction and training in the fields of agriculture and environmental studies, fishery, engineering, forestry, industrial technology, education, law, medicine and other health related programs, information technology, arts and sciences and other related courses. It shall undertake research and extension services, and provide progressive leadership in its areas of specialization.

FY 2018 PHYSICAL TARGETS AND ACOMPLISHMENTS (January – December 2018)

Program/ Performance Indicators	FY 2018 Physical Targets/ Accomplishments										
	Targets					Actual					Variance
	Q1	Q2	Q3	Q4	Total	Q1	Q2	Q3	Q4	Total	
Higher Education Services											
Outcome Indicators											
1 - Percentage of first-time licensure exam-takers that pass the licensure exams	-	-	30%	-	30%	75.95% (60/79)	46.42% (13/28)	81.39% (527/664)	-	77.82% (600/771)	(+47.82%)
2 - Percentage of graduates (2 years prior) that are employed	-	60%	-	-	60%	-	-	-	86% (48/56)	86% (48/56)	(+26.76%)
Output Indicators											
1 - Percentage of undergraduate student population enrolled in CHED-identified and RDC-identified priority programs	-	50%	-	-	50%	-	68.78% (3,530/5,132)	-	-	68.78% (3,530/5,132)	(+18.78%)
2 - Percentage of undergraduate programs with accreditation	-	10%	-	-	10%	-	-	-	100% (27/27)	100% (27/27)	(+90%)
Advanced Education Services											
Outcome Indicators											
1 - Percentage of graduate school faculty engaged in research work applied in any of the following:											
b. actively pursuing in the last three (3) years (investigative research, basic and applied scientific research, policy research, social science research)	-	-	10%	-	10%	-	67.27% (37/55)	-	-	67.27% (37/55)	(+57.27%)
Output Indicators											
1 - Percentage of graduate students enrolled in research degree programs	-	60%	-	-	60%	-	100% (379/379)	-	-	100% (379/379)	(+40%)

Program/ Performance Indicators	FY 2018 Physical Targets/ Accomplishments										
	Targets					Actual					Variance
	Q1	Q2	Q3	Q4	Total	Q1	Q2	Q3	Q4	Total	
2 - Percentage of accredited graduate programs	-	-	10%	-	10%	-	-	-	90% (9/10)	90% (9/10)	(+80%)
Research Services											
<i>Outcome Indicators</i>											
1 - Number of research outputs in the last three years utilized by the industry or by other beneficiaries	-	-	-	5	5	9	12	3	20	44	(+39)
<i>Output Indicators</i>											
1 - Number of research outputs completed within the year	-	10	20	25	55	1	6	5	67	79	(+24)
2 - Percentage of research outputs published in internationally-refereed or CHED recognized journal within the year	-	-	25%	25%	50%	100% (1/1)	100% (1/1)	20% (1/5)	126.67% (19/15)	100% (22/22)	(+50%)
Technical Advisory Extension Services											
<i>Outcome Indicators</i>											
1 - Number of active partnerships with LGUs, industries, NGOs, NGAs, SMEs, and other stakeholders as a result of extension activities	-	-	2	3	5	15	5	7	75	102	(+97)
<i>Output Indicators</i>											
1 - Number of trainees weighted by the length of training	-	333	333	334	1,000	359	352	761	1,412.25	2,884.25	(+1,884.25)
2 - Number of extension programs organized and supported consistent with the SUC's mandated and priority programs	-	-	3	7	10	1	2	1	21	25	(+15)
3 - Percentage of beneficiaries who rate the training course/s and advisory services as satisfactory or higher in terms of quality and relevance	-	-	30%	40%	70%	97.03% (131/135)	60% (121/200)	85.21% (536/629)	130.34% (567/435)	96.85% (1,355/1,399)	(+26.85%)

Budgetary and Financial Accountability Report As of December 31, 2018

a. Current Year's Budget

Allotment Class	GAA	CONTINUING APPROPRIATION	SARO	Realignment	Total
Personnel Services	139,935,000.00		9,484,095.00	1,956,435.26	151,375,530.26
MOOE	47,923,000.00			-2,048,782	45,874,218.00
Financial Expenses	-				
Capital Outlay	121,094,000.00				121,094,000.00
RLIP	12,589,000.00		406,534.00	92,346.74	13,087,880.74
TOTAL	321,541,000.00		9,890,629.00	0	331,431,629.00

b. Utilization Rate

Allotment Class	Total Allotment	Obligation	Disbursement (including AP and TRA)	Obligation BUR	Disbursement BUR	Unobligated Allotment
Personnel Services (including RLIP)	164,463,411.00	164,463,411.00	160,671,595.31	100%	97.75	0
MOOE	45,874,218.00	45,837,187.70	39,177,222.52	99.92%	85.47	37,030.30
Financial Expenses						
Capital Outlay	121,094,000.00	120,629,791.07	53,368,579.18	99.62%	44.24	464,208.93
TOTAL	331,431,629.00	330,930,389.77	253,217,397.01	99.85%	76.52	501,239.23

Summary of Findings

CSU is known for its academic excellence in science and technology, agriculture, environment and natural resources, engineering, education and the arts aimed towards the sustainable development of Caraga Region.

In January to December 2018, it can be said that the university has greatly improved in its performance in delivering the goods and services to its public. As per report as of December 31, 2018, the agency has achieved more than of its targets under the four (4) Programs based on the approved outcome and output indicators.

Physical Accomplishments

- Impressively, under the agency's Higher Education Program, its average percentage of 77.82% first-time licensure examination takers who passed the licensure examination has been achieved 77.82% against 30% target of the current year with an accomplishment rate of 259.4% vis-à-vis targets and actual accomplishment.
- As to graduates who are employed CSU achieved 86.76% against its target 60% with an accomplishment rate of 144.6% vis-à-vis targets and actual accomplishment. Actual data are based on the graduates who responded in the Online Graduate Tracer Study (OGTS).
- Meanwhile, the population of undergraduate students enrolled in CHED-identified and RDC-identified priority programs has exceeded by 68.78% out of the 50% target with an accomplishment rate of 137.56% vis-à-vis targets and actual accomplishment.
- A total of 27 programs assessed by AACCUP accreditation and was successfully passed and achieved 100% programs accredited done by AACCUP which is way above the targets of 10% set on the current year.
- Under the Advanced Education Services, CSU have 37 out of 55 faculties who are actively pursuing in the last 3 years of research studies in the fields of investigative research, basic and applied scientific research, policy research and social science research. CSU achieved 67.27% higher than the target of 10% of the current year.
- On the other hand, a total of 379 graduate students enrolled in research degree programs. This is 40% higher than the set target of only 60%.
- Also, a total of 9 programs passed out of 10 programs assessed by AACCUP accreditation. CSU achieved 90% which is way above the targets of 10% set on the current year.
- In terms of Research Program, a total of 44 research outputs in the last three years utilized by the industry and/or by other beneficiaries out of 5 targets set on the current year. Also, there were 79 research outputs out of 55 targets completed within a year. Moreover, CSU achieved 100% (22 out of 22) research outputs published in internationally-refereed or CHED recognized journal within the year. It can be noted that CSU achieved way above on the actual accomplishments against the targets set on the current year. The target was based on the budget given through the GAA/RAF. Nonetheless, CSU is fortunate to access research grants from external sources (DOST, CHED, DA-BAR, others) and due to more stringent implementation of the M&E system and the incentive and the award system.

- Under the Technical Advisory Extension Program, the university was significantly able to achieve its outcome and output indicators. There were 102 number of active partnerships with LGUs, industries, NGOs, NGAs, SMEs, and other stakeholders as a result of extension activities. And a total of 2,884.25 numbers of trainees weighted by the length of training against the targets of 1,000 set on the current year. Also, CSU were able to achieved 25 number of extension programs out of 10 targets set on the current year organized and supported consistent with the SUC's mandated and priority programs. CSU exceeded its targets of 26.85% of beneficiaries who rate the training course/s and advisory services as satisfactory or higher in terms of quality and relevance. CSU also achieved 96.85% (1,355 out of 1,399) against the targets of 70% with an accomplishment rate of 138.36%. The target was also based on the budget given through the GAA/RAF. Nonetheless, CSU is fortunate to access research grants from external sources (DOST, CHED, DA-BAR, others) as such was able to offer more extension activities.

Financial Accomplishments

CSU was able to obligate 99.85% of its total allotments. Specific findings are as follows:

- The OBUR for Personnel Services as of December 31, 2018 is 100%, MOOE is 99.92% and Capital Outlay is 99.62%. AS noted CSU were able to utilized the allotment appropriated for the current year.
- The low disbursement rate of 76.52%, particularly on capital outlay of only 44.24%, is greatly affected by the late approval of the Board of Trustees for the Construction/Repair/Rehabilitation of Academic Building, Purchase of Various Equipment Outlay and Construction of Information Communication Technology (ICT) Center, including Equipment for the Main Campus, Butuan, Agusan del Norte. Also, there seemed to be difficulties on the part of the contractors and suppliers in complying with the supporting documents for them to request additional funds for the implementation of projects as evidenced in the reports, hence, payments are delayed.

Additional Information

- CSU is now level IV based on CHED Memorandum Order No. 12, s. 2018. CSU is one of the only 5 level IV SUCs in Mindanao. One of the only 20 level IV SUCs in the Philippines and CSU is the only university in the country that has quantum leaped from Level II to Level IV.

- CSU is now certified ISO 9001:2015 Certified University. The Government Quality Management Committee (GQMC) on its 6th year Recognition and National Convention has commended Caraga State University for successfully earning its ISO 9001:2015 certification. CSU is among the only 10 State Universities and Colleges (SUCs) out of the 112 in the Philippines that was given the recognition.
- CSU placed Top 6 and Top 8 in Forester Licensure Examination and Top 7 in Agricultural and Biosystems Engineers.
- For faculty development, a total of 118 faculty and personnel pursuing graduate studies under CHED K TO 12 Scholarship (SGS - LOCAL), CHED K TO 12 Scholarship (SGS - ABROAD), CHED FDP II , DOST – ASTHRDP-NSC/SEI/ERDT, Chosun University Graduate Research Scholarship, Oklahoma State University Graduate Teaching/Research Assistantship Program, CSU funded and self funded.
- The Caraga Center for Geo-Informatics (CCGeo) is now a PRC-accredited Continuing Professional Development (CPD) Provider for Geodetic Engineering.
- In 2018, there are 12 new research projects implemented funded by DA-BAR, DA-RFO XIII, DOST-PCIEERD, DOST-PCAARRD, Philippine Rural Development Project (special project of DA funded by World Bank), CHED-DARE to grant, UN-FAO, Provincial Environment and Natural Resources, DENR-Caraga and DAR.

Overall Agency Comments/Recommendations

To ensure that funds are fully utilized to its intended purpose, and that programs are promptly undertaken in accordance with the set targets and timelines, this APR report recommends the following measures:

- Sustain and even intensify strategies in project implementation by observing scheduled targets and timeframes to ensure efficient delivery of services and meeting all physical and financial targets. Project coordinators shall be ready of their respective catch-up plans for the remaining semester.
- Review existing policies and procedures adopted in order to determine why supporting documents can hardly be complied with, so appropriate intervention/assistance may be given to suppliers/contractors if only to ensure smooth project implementation.
- As an established procedure for all SUCs that locally funded projects can only be implemented once these are authorized and approved by the Board of Regents/Trustees, thus, it is suggested that the university may seek prior approval from the Board for its locally funded projects even before the approval of the General Appropriations Act (GAA), however, since said locally funded projects are

congressional insertions and procurement activities were done in the current year, it is suggested that in order to ensure full budget utilization, timely contract implementation must be strictly observed.

- In the utilization of its internally generated income, it is likewise recommended to observe timetables and fast track procurement activities. Also, being pro-active is highly encouraged so that difficulties on securing the list of scholars may be addressed in close coordination with the CHED to ensure timely release of funds.

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